

# V.B. Desai Financial Services Limited

Category I Merchant Banker - SEBI Registration No. INM 000002731

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April 20, 2024

The Corporate Relations Department  
The BSE Ltd.  
P.J.Towers, Dalal Street,  
Mumbai – 400 001

Dear Sirs,

**Ref: Company Code No. 511110**

**Sub: Certificate under clause 40 (9) of Listing Obligations and Disclosure Requirements for the year ended 31<sup>st</sup> March 2024**

With reference to the above, we enclose the certificate dated 20<sup>th</sup> April 2024 under clause 40 (9) of Listing Obligations and Disclosure Requirements issued by Hariharan and Associates, Practicing Company Secretaries for the year ended on 31<sup>st</sup> March 2024.

Kindly take the same on your record.

Thanking you,  
Yours faithfully,  
For V.B. DESAI FINANCIAL SERVICES LIMITED



Kamlesh M Gagvani  
Company Secretary

Encl: As above

**M/S. Hariharan and Associates**  
**Company Secretaries**

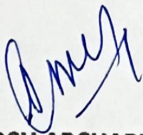
**Shop No-03, Sai Darshan CHSL,**  
**Sector-23, Nerul East,**  
**Navi Mumbai – 400 706.**

**The Board of Directors**  
**V.B. Desai Financial Services Limited**  
**Cama Building, 1<sup>st</sup> Floor**  
**24/26, Dalal Street,**  
**Fort, Mumbai – 400 001**

**CERTIFICATE FOR THE YEAR ENDED 31<sup>ST</sup> MARCH 2024**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **V.B.DESAI FINANCIAL SERVICES LIMITED (CIN:L74120MH1985PLC037218)** maintained by **PURVA SHAREGISTRY (I) PVT. LIMITED** pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> April 2023** to **31<sup>st</sup> March 2024** for the purpose of issuing a Certificate as per Clause 40 (9) of the Listing Obligations and Disclosure Requirements as applicable to **V.B. DESAI FINANCIAL SERVICES LIMITED** with BSE and based on the information provided by the Company and by the Registrar and Share Transfer Agent of the Company, I hereby certify that the Company has delivered during year ended on **31<sup>st</sup> March 2024**:

- a) No requests for transfer, transmission, subdivision, consolidation, renewal, exchange or endorsement of calls have received during the period from 01<sup>st</sup> April 2023 to 31<sup>st</sup> March 2024.
- b) Share Certificate(s) relating to issue of duplicate share certificates have been issued within thirty days of the date of lodgement of proper documents.
- c) Any other information, if applicable – Nil



**AMOSH ARCHAPELLI**  
**COMPANY SECRETARY**  
**HARIHARAN & ASSOCIATES**  
M. No. F10064: CP 12945  
UDIN: - **F010064F000199572**

Date: 20/04/2024  
Place: Navi Mumbai