

27<sup>th</sup> March 2024

**BSE Limited**

Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort, Mumbai 400 001

**BSE Scrip Code: 540767**

**National Stock Exchange of India Limited**

Exchange Plaza, 5<sup>th</sup> Floor, Plot No. C/1,  
G Block, Bandra Kurla Complex,  
Bandra (East), Mumbai 400 051

**NSE Scrip Symbol: NAM-INDIA**

Dear Sir(s),

**Sub: Intimation under Regulation 30 read with Para A of Part A of Schedule III of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, this is to inform that Nippon Life India Asset Management Limited (“Company”) is in receipt of a notice under Rule 4 (1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995 in a matter pertaining to the charging of expenses/ TER in respect of certain ETF schemes from AMC books.

The details as required under Regulation 30 of SEBI (Listing obligations and Disclosure requirements) Regulations, 2015 (as amended) are as below.

<b>Name of the authority</b>	Securities and Exchange Board of India
<b>Nature and details of the action(s)</b>	Notice under Rule 4 (1) of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995.
<b>Date of receipt of communication from the authority;</b>	26 <sup>th</sup> March 2024
<b>Details of the violation(s)/contravention(s) committed or alleged to be committed;</b>	Clause A (1) of SEBI Circular (Mutual Funds) dated October 22, 2018.
<b>Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.</b>	Not quantifiable

The above information will also be made available on the Company's website at <https://mf.nipponindiaim.com/>

This is for your information and records.

Yours faithfully,

For and on Behalf of Nippon Life India Asset Management Limited

**(Nilufer Shekhawat)**

**Company Secretary & Compliance Officer**

**Membership No.: A – 23264**