

# KARTIK INVESTMENTS TRUST LIMITED

Parry House, 2<sup>nd</sup> Floor, No. 43, Moore Street, Parrys, Chennai - 600 001.

Phone : 044-2530 7123 Fax : 044-2534 6466

Website : [www.kartikinvestments.com](http://www.kartikinvestments.com)

CIN : L65993TN1978PLC012913

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April 10, 2024

**The Secretary  
BSE Limited  
25<sup>th</sup> Floor, Phiroze Jeejeebhoy Towers  
Dalal Street, Fort  
Mumbai - 400 001**

Dear Sir,

**Sub: Compliance Certificate under Regulation 40(9 & 10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 – for the year ended 31<sup>st</sup> March 2024**

Pursuant to Regulation 40(9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose a certificate from the Practicing Company Secretary for the period from 1<sup>st</sup> April 2023 to 31<sup>st</sup> March 2024.

Kindly take the same on record.

Thanking you,

Yours faithfully,  
for Kartik Investments Trust Limited

Krithika Vijay Karthik  
Company Secretary

Encl.: As above

**CERTIFICATE IN TERMS OF REGULATION 40 (9, 10 & 11) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015.**

For the period from **1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024**

ISIN : INE524U01019

SCRIP CODE: 501151

We have examined all relevant books , Registers, files, forms, papers and other documents relating to **Kartik Investments Trust Limited**, (herein after called as "the Company"), having its Registered office at "Parry House", II Floor, 43, Moore Street Chennai - 600001 maintained by its Registrar and Share Transfer Agent viz., **Kfin Technologies Limited** (herein after called as "RTA") having its office at Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad - 500 032 pertaining to the period from **01<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024** for the purpose of issuing a Certificate under Regulations 39 and 40 (9 , 10 & 11) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended, and the Uniform Listing Agreement entered into by, **Kartik Investments Trust Limited with BSE Limited**.

The Securities and Exchange Board of India (SEBI) vide its Press Release dated December 3, 2018 and March 27, 2019 respectively and Circular No SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 dated 7<sup>th</sup> September, 2020 had fixed 31<sup>st</sup> March, 2021 as the cut-off date for re-lodgement of transfer deeds. The shares re-lodged for transfer after the said date will be issued only in dematerialized form in the manner prescribed by SEBI from time to time. In addition to the above, SEBI vide its Circular No SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022 has mandated that listed Companies shall henceforth issue securities only in dematerialized form. Accordingly, on the basis of such examination as well as information and explanations furnished to us by the Company/RTA, which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that the Company has in relation to the year ended **31<sup>st</sup> March 2024** has not received any requests pertaining to transfer, transmission, transposition, sub-division, consolidation, duplicate share certificate(s), renewal, deletion of name and exchange or endorsement of calls/allotment monies.

For R. SRIDHARAN & ASSOCIATES  
COMPANY SECRETARIES



CS R.SRIDHARAN  
FCS No.4775  
C.P.No.3239  
PR NO.657/2020  
UIN: S2003TN063400  
UDIN: F004775F000064802

Place: Chennai  
Date: 10<sup>th</sup> April, 2024