



Alka Securities Limited

10, Maitri, NS Rd. No. 10, JVPD Scheme,
Juhu, Vile Parle West, Mumbai - 400 049
www.alkasecurities.com +91-022-2670 8941

CIN: L65910MH1994PLC165204

Date: 30.04.2024

To
Listing Compliance Department
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai- 400001

Symbol: ALKASEC
Scrip Code: 532166

SUBJECT: Submission of Certificate required as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015

Dear Sir/Madam,

In compliance with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015, we enclose herewith a copy of the Compliance Certificate issued by Sumit Bajaj & Associates, Practicing Company Secretaries, for the year ended on 31st March 2024.

Thanking you

Yours Faithfully,

For **Alka Securities Limited**

Nishi
jayantilal
jain

Digitally signed by
Nishi jayantilal jain
Date: 2024.04.30
14:54:15 +05'30'

Nishi Jain
Company Secretary and Compliance Officer

Encl: Certificate



Sumit Bajaj & Associates

(Practicing Company Secretaries)

Office Address: Office no.804, Arunachal Building, Barakhamba Road, New Delhi-110092

Email Id: cssumitbajaj@gmail.com, Tel: +91-9910613098

Registration No. S2019DE677200, Peer Review No. 2885/2023

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE YEAR ENDED 31ST MARCH, 2024

We, have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Alka Securities Limited** (maintained by **Purva Shareregistry (India) Private Limited**) pertaining to transfer of equity shares of the Company for the period from **01st April, 2022 to 31st March, 2023** for the purpose of issuing a Certificate as per **Regulation 40(9) of Securities Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015** entered into by **Alka Securities Limited** with the **BSE Limited** and based on the information provided by the Company, We hereby certify that-

- A. The Company has not received any request relating to the registration of transfer, sub division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of Shares/Debentures during the period from **01st April, 2023 to 31st March, 2024**.
- B. No share certificate(s) has been issued in respect of duplicate and split certificate(s) as there is no such request received by the company.

For Sumit Bajaj & Associates
(Practicing Company Secretary)

Date: 30.04.2024
Place: New Delhi
UDIN: A045042F000279892

CS Sumit Bajaj
(Proprietor)
C. P. No: 23948
M. No.: 45042