SARVOTTAM FINVEST LIMITED

Regd. Office : 3, Bentinck Street, 2nd Floor, Kolkata - 700 001 Ph. : (033) 2210 0875, e-mail : sarvottamfinvest@gmail.com CIN : L65993WB1978PLC031793 Website : www.sarvottamfinvest.in

Date:27.04.2024

To The Secretary The Calcutta Stock Exchange Ltd. 7, Lyons Range Kolkata - 700 001

Scrip Code: 10012144 ISIN No: INE822Q01015 То

BSE Corporate Compliance & Listing Centre BSE Limited P. J. Towers, Dalal Street Mumbai – 400001

Scrip Code:539124 ISIN NotiiINE822Q01015

Sub: Certificate from Practicing Company Secretary under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) for the year ended 31st March, 2024.

Dear Sir/ Madam,

Please find enclosed copy of Certificate issued by Practicing Company Secretary under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March, 2024.

Thanking you.

Yours Faithfully, For Sarvottam Finvest Limited

GUPTA

Dilip Kumar Gupta (Managing Director) DIN:01168576



RAJESH GHORAWAT *PRACTISING COMPANY SECRETARY*

68, R.K.CHATTERJEE ROAD, KASBA BAKULTALA, 3RDFLOOR, KOLKATA-700042 MOBILE- +91 9831189994 Email <u>Id-rgadvisorv18@gmail.com</u>

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31ST MARCH, 2024

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s Sarvottam Finvest Limited** having Registered Office at 3, Bentinck Street, 2nd Floor, Kolkata – 700 001 as maintained by Registrar and Share Transfer Agent, M/s. ABS Consultant Pvt. Ltd. pertaining to transfer of equity shares of the Company for the Financial Year ended **31**st **March**, **2024** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

A) during the period under review, the requests received for registration of transfer, transmission, split, sub-division, consolidation, dematerialization or rematerialization of shares were registered within stipulated time as prescribed under SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015;

B) Any other information, if applicable - Nil

Rajesh Ghorawat Practising Company Secretary M.No. F7226 CP No. 20897

> UDIN: F007226F000237995 Peer Review No. 1992/2022

Place: Kolkata Date: 25th April, 2024