Aditya Birla Sun Life AMC Ltd.



PROTECTING INVESTING FINANCING ADVISING

Ref.No.: ABSLAMCL/PS/12/2024-25 April 08, 2024

BSE Limited

Phiroze Jeejeebhoy Towers, Dalal Street Mumbai - 400 001

Scrip Code: 543374

National Stock Exchange of India Limited

Exchange Plaza, 5th Floor, Plot No. C/1, G Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400 051

Scrip Code: ABSLAMC

Dear Sir/ Ma'am,

Sub: Certificate under Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2024

Please find enclosed herewith a Certificate received from M/s. VKMG & Associates LLP, Company Secretaries, certifying compliance with Regulation 40(9) read with regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the financial ended March 31, 2024.

This is for your information and records.

For Aditya Birla Sun Life AMC Limited

Hemanti Wadhwa Chief Compliance Officer & Company Secretary FCS – 6477

Encl: As above

Elphinstone Road, Mumbai – 400 013
CIN: L65991MH1994PLC080811





To,
The Board of Directors,
ADITYA BIRLA SUN LIFE AMC LIMITED
One World Center, Tower 1, 17th Floor, Jupiter Mills,
Senapati Bapat Marg, Elphinstone Road,
Mumbai – 400013

<u>CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2024</u>

We have examined all registers, files and other documents of **ADITYA BIRLA SUN LIFE AMC LIMITED** (the 'Company') maintained by **KFin Technologies Limited**, the Company's Registrar & Share Transfer Agent, pertaining to transfer of equity shares of the Company for the period from 1st **April**, 2023 to 31st **March**, 2024 (Audit period) for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, we hereby certify that:

- a) the Company has not received any request relating to the transfer of equity shares during the Audit period and
- b) the Company has neither issued any debenture nor carried out any activity such as sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the Audit period.

For VKMG & Associates LLP

Company Secretaries

FRN: L2019MH005300

Manish Rajnarayan Gupta

Partner ACS-43802

CP-16067

PRN:5424/2024

Date: 08/04/2024

Place: Mumbai

UDIN: A043802F000059268