



**Date: 29-04-2024**

**The BSE Limited**  
Phiroje Jeejeebhoy Towers  
Dalal Street Fort  
Mumbai - 400 001  
(Scrip Code - 532776)

**The National Stock Exchange Limited**  
"Exchange Plaza" Bandra Kurla Complex,  
Bandra East,  
Mumbai - 400 051  
(Scrip Code - SHIVAMAUTO)

**Sub: Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31-Mar-2024.**

Dear Sir,

This is in reference to the above mentioned subject; please find enclosed herewith the Certificate from Practicing Company Secretary as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for year ended 31-Mar-2024.

Kindly take the above information on your records and oblige.

Thanking you.

**FOR SHIVAM AUTOTECH LIMITED**

**SHAKTI MAHANA**  
**COMPANY SECRETARY**  
**M.NO. A69273**

**SHIVAM AUTOTECH LIMITED [www.shivamautotech.com](http://www.shivamautotech.com)**  
**CIN: L34300HR2005PLC081531**

**Registered Office:** 10, 1<sup>st</sup> Floor, Emaar Digital Greens, Tower A, Sector  
61, Golf Course Extension Road, Gurugram, Haryana-122102

**Tel:** 0124-4698700  
**Fax:** 0124-4698798

# Yogesh K & Associates Company Secretaries

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31 MARCH, 2024,

Based on the examination of the relevant documents relating to fully paid equity shares of **Shivam Autotech Limited** (hereinafter referred to as 'the Company') maintained and provided electronically by MCS Share Transfer Agent Limited, Registrar and Share Transfer Agents (RTA), for the purpose of issuing certificate to the Company as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended (hereinafter referred to as 'the Listing Regulations'), in respect of effecting transfer, sub-division, consolidation, renewal, exchange or endorsement etc. of shares received by the Company during the period from 1st April 2023 to 31 March 2024, and based on our such examination as well as the information and explanations given to us by the Company on a remote basis, which to the best of our knowledge and belief were necessary for the purpose.

We hereby certify that:

- A) 1. In accordance with SEBI Circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/ 2022/8 dated January 25, 2022, the Company has processed Letter of Confirmation' in lieu of physical securities in respect of requests relating to transmission/deletion of name of securities received during the period from 1 April 2023 to 31st March 2024, within the periods stipulated under the Listing Regulations, from the date of lodgement with the Company excepting those rejected on technical grounds.
2. As informed to us there were no requests relating to transfer of securities, transposition, split, consolidation, exchange or endorsement of calls/allotment monies etc received during the said period.

For Yogesh K & Associates  
(Company Secretaries)

Place: New Delhi  
Date: 29.04.2024



*Yogesh Kumar*

CS Yogesh Kumar  
Proprietor  
M. No. A60866  
C.P. No. 23576  
PR 4659/2023

UDIN: A060866F000264696

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"Speak the Truth, Abide by the Law"