



# OSWAL OVERSEAS LIMITED

CIN-L74899DL1984PLC018268

(Sugar Division)

Village Aurangabad, Teh. Nawabganj, P.O.-Grem, Distt. Bareilly (U. P.) 243407

Phone : 05825-226551 (M) 09997300551-552, Fax : 05825-226763

To,  
The Manager  
Listing Department  
BSE Limited  
Floor 25, PJ Towers,  
Dalal Street,  
Mumbai – 400001

**Sub.: Compliance Certificate under Regulation 40(9) of the SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for the year ended on 31<sup>st</sup> March, 2024**

Dear Sir/ Madam,

Please find compliance certificate issued by Mr. Anuj Kumar Malik, Practicing Company Secretary under Regulation 40 (9) of the SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for the year ended on 31<sup>st</sup> March, 2024.

This is for your kind information & updation of records.

Thanking you  
Yours' Faithfully

Ⓡ For Oswal Overseas Limited

Lalit Kumar  
Company Secretary & Compliance Officer



Place: New Delhi  
Dated: 26.04.2024

Encl.: As above



**MALIK ANUJ & COMPANY**  
Company Secretaries

Mobile No.: 9720343132  
E-mail: csanujmalik@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED ON MARCH 31, 2024**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Oswal Overseas Limited** having registered office at **98A, Second Floor, Namberdar Estate, Taimoor Nagar, New Delhi 110065** maintained by **M/s. Beetal Financial & Computer Services Private Limited, Registrar and Share Transfer Agent (RTA) of the company** pertaining to transfer of equity shares of the company for the financial year from **April 1, 2023 to March 31, 2024** for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during year ended on 31<sup>st</sup> March, 2024:

- A. Share Certificate(s) relating to the transfer of Shares received during the period from 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024 as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, subdivision, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B. Any other information, if applicable. No

**FOR MALIK ANUJ & COMPANY**  
**COMPANY SECRETARIES**



*Anuj Malik*

**CS Anuj Kumar Malik**  
**Practicing Company Secretary**

**CoP No.: 16061**

**Membership No.: A42273**

**UDIN: A042273F000208331**

**Date: 22 April, 2024**

**Place: New Delhi**