



RDB REALTY & INFRASTRUCTURE LIMITED

BIKANER BUILDING, 8/1, LAL BAZAR STREET, 1ST FLOOR, KOLKATA - 700 001 • CIN No. : L16003WB2006PLC110039
PHONE : +91 33 4450 0500 • FAX : +91 33 2242 0588 • E-MAIL : secretarial@rdbindia.com • Web : www.rdbindia.com

Date: 10th April, 2024

To,
Department of Corporate Services
BSE Limited
P.J.Towers, Dalal Street
Mumbai- 400 001

To,
The Secretary,
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata- 700 001

Dear Sir/Madam,

**Sub: Compliance Certificate under Regulation 40(9) of the Securities and Exchange Board of India
(Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Compliance Certificate for the year ended on 31st March, 2024.

This is for your information and record.

Thanking you

Yours faithfully,
For RDB Realty & Infrastructure Limited

RDB Realty & Infrastructure Limited

Company Secretary

Priyarup Mukherjee
Company Secretary & Compliance Officer

Encl. as above

Prachi Todi

Company Secretaries
46, East Topsia Road
Arupota Kolkata-700105
Mobile No: 9830072442
Email: csprachi92@gmail.com; info.prachi92@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE YEAR ENDED 31ST MARCH, 2024

The Securities and Exchange Board of India ("SEBI") vide its gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018 amended Regulation 40 of The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in demat form only with effect from 1st April, 2019. Further SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to the aforesaid deadline and returned due to deficiency in the documents may be re-lodged for transfer even after 1st April, 2019. Further, SEBI vide its circular dated September 07, 2020 had fixed March 31, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. Also, SEBI had specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated December 02, 2020.

Thereafter, SEBI vide its gazette notification dated January 24, 2022 read with SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022 had mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal/Exchange of securities certificate, Endorsement, Sub-division/Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to **M/s RDB Realty & Infrastructure Limited**, having its Registered Office at Bikaner Building, 8/1, Lal Bazar Street, 1st Floor, Room No 10, Kolkata-700001, maintained by **M/s Niche Technologies Private Limited** of 3A, Auckland Place, 7th Floor, Room No. 7A & 7B, Kolkata - 700017, pertaining to transfer of equity shares of the Company from the period **1st April, 2023 to 31st March, 2024** for the purpose of issuing a Certificate as per Regulation 40(9) of The Securities And Exchange Board of India (Listing Obligations And Disclosure Requirements) Regulations, 2015, and based on the information provided by the Company/ RTA, I hereby certify that:

- That there were no instances of physical transfer. However, 2 (Two) cases of transmission of securities consisting of 300 Shares were received during the period from **1st April, 2023 to 31st March, 2024**, which were processed within the stipulated time period;
- That there were no requests relating to sub-division (split), consolidation, renewal or endorsement of calls/allotment monies with respect to equity shares.

Date: 10/04/2024
Place: Kolkata

For PRACHI TODI
PRACTICING COMPANY SECRETARY

ACS No. 53022
C.P. No. 22964
Peer Review Certificate No.: 1445/2021
UDIN: A053022F000078454

