

Phone No.: 30767700

THE SWASTIK SAFE DEPOSIT & INVESTMENTS LIMITED

CIN: L65190MH1940PLC003151

Regd. Office: 4th Floor, Piramal Tower Annexe, Ganpatrao Kadam Marg, Lower Parel, Mumbai – 400013

E: complianceofficer.swastik@piramal.com W: www.theswastiksafedeposit.in

15th April, 2024

The Secretary,
BSE Limited,
Phiroze Jeejeebhoy Towers,
Fort, Mumbai – 400 001

Dear Sir,

Ref: Script Code

BSE: 501386

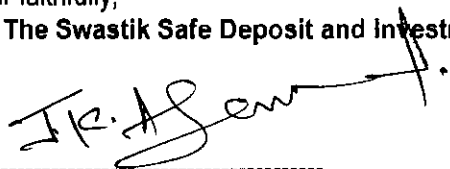
Sub.: Regulation 40 (9) of the SEBI (Listing Obligation & Disclosure Requirements), 2015

Pursuant to Regulation 40 (9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, we have received certificate dated 15th April, 2024 from Mr. Virendra Bhatt, Practicing Company Secretary.

Enclosed herewith is the copy of the same for your records.

Your faithfully,

For The Swastik Safe Deposit and Investments Limited



Jitesh K. Agarwal
Company Secretary
FCS – 6890



Encl: a/a

Virendra Bhatt
Practicing Company Secretary

Office:
Office No. 03, A Wing, 9th Floor,
Pinnacle Corporate Park
BKC CST Link Rd., MMRDA Area,
Bandra Kurla Complex,
Bandra East, Mumbai- 400 051,
Maharashtra, India.
Tel.: 022 - 2652 9367 / 68
Mobile No.: +91 98200 48670
Email: bhattvirendra1945@yahoo.co.in

Name of the Company : The Swastik Safe Deposit and Investments Limited
CIN : L65190MH1940PLC003151
Registered Office : 4th Floor, Piramal Tower Annexe, Ganpatrao Kadam Marg,
Lower Parel, Mumbai - 400013

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR
ENDED 31ST MARCH, 2024**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 08th June, 2018 and vide its press release dated 03rd December, 2018 ("SEBI's operational guidelines"), amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. 01st April, 2019.

Further, the SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular No.: SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 ("SEBI's operational guidelines") had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Subdivision / Splitting of securities certificate, Consolidation of securities certificates / folios, Transmission and Transposition.

I have examined all the relevant documents relating to **THE SWASTIK SAFE DEPOSIT AND INVESTMENTS LIMITED** maintained by the **Link Intime India Private Limited** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from **01st April 2023 to 31st March, 2024** and based on the information provided, I hereby certify that:

- A. The Company / RTA has delivered / sent during year ended on 31st March, 2024, letters of confirmation as per SEBI's operational guidelines, for transmission, replacement, issue of duplicate share certificate and change in name received during the financial year ended 31st March, 2024, within the prescribed time from the respective date of lodgment.



B. As informed to me, the RTA has not processed any physical transfer, transposition, deletion of securities, as per SEBI's operational guidelines.



Date: 15th April, 2024
Place: Mumbai

Virendra G. Bhatt
Practicing Company Secretary
ACS No.: 1157 / COP No.: 124
Peer Review Cert. No.: 1439/2021

UDIN: A001157F000125347