

Date: 15<sup>th</sup> April, 2024

To,  
The Manager,  
Department of Corporate Services,  
BSE Limited  
1<sup>st</sup> Floor, Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai - 400 001  
**Script Code: 506235**

To,  
The Manager,  
Listing Department,  
National Stock Exchange of India Limited  
"Exchange Plaza"  
Bandra Kurla Complex, Bandra (E),  
Mumbai – 400 051  
**NSE Symbol: ALEMBICLTD**

Dear Sir/Madam,

**Sub: Certificate as per Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 for the financial year ended 31<sup>st</sup> March, 2024**

With reference to the captioned matter, we enclose herewith Certificate as per Regulation 40 (9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 for the financial year ended 31<sup>st</sup> March, 2024 issued by M/s. Samdani Shah & Kabra, Practicing Company Secretaries, Vadodara.

We request you to kindly take the same on record.

Thanking you,

Yours Faithfully,  
For **Alembic Limited**

  
**Keval Thakkar**  
**Company Secretary**



Encl.: A/a

**ALEMBIC LIMITED**

**CERTIFICATE**  
**For the Financial Year ended March 31, 2024**  
**[As per Reg. 40(9) of the SEBI (Listing Obligations and Disclosure Requirements)**  
**Regulations, 2015]**

The Securities and Exchange Board of India ("SEBI") vide gazette notification dated June 8, 2018 and vide its press release dated December 03, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form with effect from April 01, 2019. SEBI vide its press release dated March 27, 2019 clarified that the transfer deed(s) once lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 01, 2019. Further, SEBI vide its circular dated September 07, 2020 had fixed March 31, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfer shall be issued only in demat mode. SEBI had specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated December 02, 2020.

SEBI vide gazette notification dated January 24, 2022, read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022, has mandated that listed companies shall issue the securities in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/ folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to **ALEMBIC LIMITED** ('Company'), having Corporate Identification Number: L26100GJ1907PLC000033 and registered office situated at Alembic road, Baroda – 390003, Gujarat, India, maintained and provided by Link Intime India Private Limited, Registrar and Share Transfer Agent, pertaining to the financial year ended March 31, 2024, for the purpose of issuing a Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that during the financial year ended March 31, 2024:

- A) The Company has issued 'Letter of Confirmation' as per SEBI circular dated January 25, 2022 to security holders in respect of requests received during the financial year ended March 31, 2024, for –
- transmission, deletion of names, change of names, renewal or exchange of securities certificates within 30 days of the dates of lodgement of such

requests except those rejected on technical grounds;

- issue of duplicate securities certificate within 30 days of the dates of lodgement of such requests except those having objection(s) and processed after removing objection(s) or those rejected on technical grounds.

B) There were no requests received relating to transposition of securities, consolidation, sub-division and endorsement of calls/allotment monies during the financial year ended March 31, 2024.



**S. Samdani**

Partner

**Samdani Shah and Kabra**

Company Secretaries

CP No. 2863



**ICSI PR No.: 1079/2021**

**ICSI UDIN: F003677F000118440**

**Place: Vadodara | April 15, 2024**