



# Dynamic Portfolio Management & Services Ltd.

Regd. Office: 1403, Vikram Tower 16, Rajendra Place, New Delhi-110008,  
Phone: 9017255300 Website: [www.dynamicwealthservices.co.in](http://www.dynamicwealthservices.co.in) ,  
Email: [dpms.kolkata@gmail.com](mailto:dpms.kolkata@gmail.com), CIN: L74140DL1994PLC304881

**Date: 19.10.2023**

To,

**BSE Limited  
(Department of Corporate Affairs)  
Floor 25, Phiroze Jeejeebhoy Towers  
Dalal Street, Fort, Mumbai-400001**

**Reg.: Scrip Code 530779**

**Sub: Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India  
(Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended  
30.09.2023**

Sir/Ma'am,

Pursuant to Regulation 40 (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a Certificate issued by Dinesh Sharma & Company, Company Secretaries for the half-year ended 30.09.2023 in compliance with Regulation 40 (9) of SEBI Listing Regulations, 2015 for your information and records

Kindly take the same on record and Oblige.

**For Dynamic Portfolio Management and Services Limited**

**Mr. Kailash Chandra Agarwal  
Managing Director  
DIN-08650459**





**DINESH SHARMA**

Practicing Company Secretaries  
(CS, L.L.B, M.com, B.Com)

**Address:** 81, Laxmi Nagar, Near INS  
School, Harnathpura, Kalwar Road,  
Jhotwara, Jaipur-302012 **Contact No.:** 91  
-8946959868, 7014344358

**Email ID:** csdineshsharma@gmail.com  
csdinesh@outlook.com

**CERTIFICATE UNDER REG. 40(9) OF SEBI (LODR) REGULATIONS,  
2015 FOR THE YEAR ENDED 30<sup>th</sup> SEPTEMBER, 2023**

We have examined all the share transfer deeds, memorandum of transfer, registers, files and other documents relating to M/s Dynamic Portfolio Management and Services Limited (“the Company”) maintained by M/s Maashitla Securities Private Limited, its Registrar & Transfer Agent (“RTA”) pertaining to transfer of equity shares of the Company for the period from 01\* April, 2022 to 31% March, 2023, for the purpose of issuing a certificate as per Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company / RTA, we hereby certify that:

- A. The Company has received one request for transmission of shares during the period from 01\* April, 2022 to 31 March, 2023, which was duly executed by the Company / RTA as per the Companies Act, 2013 and SEBI Guidelines / Circular. Further, the Company has not received any request for transfer of shares held in physical form during the period from 01% April, 2022 to 31 March, 2023.
- B. The Company has not received any request/application relating to sub-division, split, consolidation, renewal, exchange, endorsement of calls / allotment monies or exchange of duplicate certificates.

**Place: Jaipur  
Date: 18.10.2023**

**For Dinesh Sharma  
Company Secretaries  
(ICSI Unique Code I2022RJ32423700)  
PR 3182 / 2023**

DINESH SHARMA  
Digitally signed by  
DINESH SHARMA  
Date: 2023.10.18  
16:16:28 +05'30'

**CS Dinesh Sharma  
Membership No.: ACS 44736  
C P No.: 26246  
UDIN: A044736E001360380**