

YBL/CS/2024-25/009

April 16, 2024

Department of Corporate Services,  
**BSE Limited**  
P.J. Towers, Dalal Street  
Mumbai - 400 001  
**BSE Scrip Code: 532648**

Listing Department  
**National Stock Exchange of India Limited**  
Exchange Plaza  
Plot no. C/1, G Block,  
Bandra - Kurla Complex  
Bandra (E)  
Mumbai - 400 051  
**NSE Symbol: YESBANK**

Dear Sirs/Madam,

**Sub:** *Certification under Regulation 40(9) read with Regulation 40(10) and Regulation 61(4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2024*

Please find enclosed herewith Certificate received from U. Hegde & Associates, Company Secretaries, certifying compliance with Regulation 40(9) read with Regulation 40(10) and Regulation 61(4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the year ended March 31, 2024.

Kindly take the same on your record.

Thanking you,

Yours faithfully,

For **YES BANK LIMITED**

**Shivanand R. Shettigar**  
**Company Secretary**

Encl: As above

# U. HEGDE & ASSOCIATES

## COMPANY SECRETARIES

CERTIFICATE UNDER REGULATION 40(9) & 61(4) OF SEBI (LISTING OBLIGATIONS & DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED MARCH 31, 2024

I, have examined all the records and documents for the period from April 1, 2023 to March 31, 2024 in relation to Share Transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, maintained by Registrar & Transfer Agents ("RTA") KFin Technologies Limited having its office at Selenium Building, Tower B, Plot No-31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, 500032, for their client YES BANK LIMITED ("the Bank"), having registered office at YES BANK House, Off Western Express Highway, Santacruz (East), Mumbai 400055, Maharashtra, India, for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015. Based on the documents and information made available from Bank/RTA, I hereby certify that:

- A) During the period from April 1, 2023 to March 31, 2024, no transfer deeds were lodged/re-lodged with Bank/RTA and hence, the compliance of Regulation 40(9) read with the SEBI circular SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 dated September 07,2020 and SEBI/HO/MIRSD/RTAMB/CIR/P/2020/236 dated December 02,2020 did not arise for transfer of equity shares.
- B) During the period from April 1, 2023 to March 31, 2024, the Bank/RTA did not receive request relating to sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, therefore the Bank was not required to deliver the share certificates within 30 days from date of lodgment.
- C) During the period from April 1,2023 to March 31,2024, the Bank /RTA received 1 (one) request for issuance of duplicate share certificate against the loss of share certificate, the duplicate share certificate/letter of confirmation was delivered within 30 days from date of lodgment.



Further, I have also examined all the records and documents for the period from April 1, 2023 to March 31, 2024 in relation to Debt securities with respect to transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, made available by Registrar & Transfer Agents ("RTA") Link Intime India Private Limited having its office at C-101, 1 Floor, 247 Park, L.B.S. Marg, Vikhroli (West) Mumbai, 400 083 for their client YES BANK LIMITED ("the Bank"), having registered office at YES BANK House, Off Western Express Highway, Santacruz East, Mumbai - 400055, for the purpose of issuing Certificate as per Regulation 61(4) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015. As there are no holding in physical form and based on the documents and information made available, I hereby certify that:

- A) During the period from April 1, 2023 to March 31, 2024, no transfer deeds were lodged with Bank/RTA, therefore the Bank was not required to deliver the certificate(s) within 30 days from date of lodgment.
- B) During the period from April 1, 2023 to March 31, 2024, no request relating to sub division, consolidation, renewal, exchange or endorsement of calls/ allotment monies were received, therefore the Bank/RTA was not required to deliver the certificate(s) within 30 days from date of lodgment.

FOR U. HEGDE & ASSOCIATES, COMPANY SECRETARIES

*U. Hegde*

UMASHANKAR K HEGDE  
(Proprietor)

COP No- 11161 # M. No- A22133

ICSI UDIN No- A022133F000139162

Date: 16/04/2024

Place: Mumbai

