

Ref: 2024/AES/SE/GEN/0092

25<sup>th</sup> April, 2024

To,  
The Listing Department,  
BSE Limited,  
Floor 25, P. J. Towers,  
Dalal Street, Mumbai 400 001

To,  
The Listing Department,  
National Stock Exchange of India Limited,  
Exchange Plaza, Plot No. C/1, G Block,  
Bandra Kurla Complex, Bandra (East),  
Mumbai 400051

BSE Scrip Code: 530355

Trading Symbol: ASIANENE

Dear Sir / Madam,

**Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Ref.: Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

With reference to above, we enclose herewith a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by M/s VPP & Associates, Practicing Company Secretaries for the year ended 31<sup>st</sup> March, 2024 for your information and record.

Kindly take the note of the same.

Thanking you,

Yours faithfully,  
For Asian Energy Services Limited

Shweta Jain  
Company Secretary & Compliance Officer  
Encl.: As above

Asian Energy Services Limited  
CIN: L23200MH1992PLC318353

3B, 3<sup>rd</sup> Floor, Omkar Esquare, Chunabhatti Signal, Eastern Express Highway, Sion (East), Mumbai - 400022  
Phone +91 (22) 42441100 Fax+91 (22) 42441120 E-mail: mail@asianenergy.com Web: <https://www.asianenergy.com>



# VPP & ASSOCIATES

Practicing Company Secretaries

Office No. 201, 2<sup>nd</sup> Floor, A-Wing, Jeevan Prabha Co-op Society, Chandavarkar Road, Borivali (West), Mumbai – 400092  
Tel. No.: +91 22 3175 9100 | Email Id: vppassociates@hkacs.com | Website: hkacs.com

## CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2024

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Asian Energy Services Limited** (“the Company”) maintained by M/s. Link Intime India Pvt. Ltd., Registrar & Share Transfer Agent pertaining to transfer of Equity Shares of the Company for the period from **1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information confirmed by the Registrar and Share Transfer Agent (RTA), we hereby certify that during year ended on 31<sup>st</sup> March, 2024:

- A. There were no transactions relating to physical transfer of shares during the period from 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024.
- B. As confirmed by the RTA, during the period from 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024, the Company had processed 2 (Two) requests for change of Name of shares representing 1,000 (One Thousand) equity shares within stipulated time.
- C. As confirmed by the RTA, during the period from 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024, the Company had processed 3 (Three) requests for Name deletion representing 1,600 (One Thousand Six Hundred) equity shares within stipulated time.
- D. As confirmed by the RTA, during the period from 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024, the Company had processed 4 (Four) requests for transmission of shares representing 1,900 (One Thousand Nine Hundred) equity shares within stipulated time.
- E. As confirmed by the RTA, during the period from 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024, the Company had processed 2 (Two) requests for issue of duplicate share certificates representing 400 (Four Hundred) equity shares within stipulated time. Further, in compliance of SEBI Circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022, the Company has issued “Letter of confirmation” to the Shareholder in lieu of Duplicate Share Certificate..

Pooja  
Mayank  
Jain

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by Pooja Mayank  
Jain  
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F. There was no transaction relating to transposition, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the said period.

**For VPP & Associates  
Practicing Company Secretaries**

Pooja  
Mayank  
Jain

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by Pooja Mayank  
Jain  
Date: 2024.04.25  
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**Pooja M. Jain  
Partner**

**C.P. No. 9136; FCS: 8160  
PR Certificate No.: 701/2020  
UDIN: F008160F000234507**

**Date:** 25<sup>th</sup> April, 2024  
**Place:** Mumbai