

## **KOVALAM INVESTMENT AND TRADING COMPANY LIMITED**

REGD. OFFICE: PREMISES OSWAL WOOLLEN MILLS LTD, G.T. ROAD, SHERPUR, LUDHIANA-141003

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Department of Corporate Relations,  
BSE Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai - 400001

15.04.2024

**Scrip Code: 505585**

**Sub: Submission of Certificate Under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended 31<sup>st</sup> March, 2024**

Dear Sir / Madam,

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are sending herewith Certificate issued by M/s. P.S. Dua & Associates, Practicing Company Secretary for the financial year ended 31st March, 2024.

You are requested to take the same on record and acknowledge the receipt.

Thanking You,

Yours faithfully,

**For Kovalam Investment and Trading Company Limited**

**Jyoti Sud**  
**Company Secretary and Compliance Officer**  
**ICSI Membership No. A27162**

**Encl: as above**

**P. S. DUA & ASSOCIATES**  
**COMPANY SECRETARIES**

55F, Santlsher Singh Nagar, Pakhowal Road, Ludhiana - 141002 (Punjab), Tel. 0161 - 4623424  
E-Mail: cpsdua@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATION &  
DISCLOSURE REQUIRMENTS), 2015**


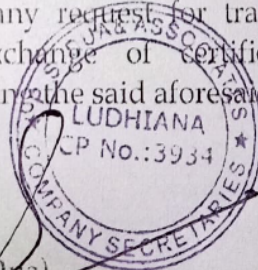
**FOR THE YEAR ENDING 31.03.2024**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. 01st April, 2019.

Further, SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25th January, 2022 had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Subdivision/ Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents of **M/s Kovalam Investment And Trading Company Limited** having CIN:- 1.65910PB1981PLC023058 ('the Company') maintained and provided electronically by Alankit Assignments Limited Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended 31st March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that:

- A. As informed to us, there were no requests relating to physical transfers received during the financial year ended 31st March, 2024.
- B. As informed to us the Company has not received any request for transmission, consolidation, sub-division, duplicate, renewal, exchange of certificates and endorsement for payment of call/ allotment money during the said aforesaid period.

(P.S. Dua)  
Company Secretary  
(C.P. Number: 3934)

Place: - Ludhiana

Date: - 15.04.2024

UDIN:- F004552F000121451

Peer Review Certificate No. 1296/2021