



VERTEX SECURITIES LIMITED
(A Subsidiary of Transwarranty Finance Limited)
Thottathil Towers, II Floor, Market Road, Ernakulam, Kochi : 682018
Telephone : 0484 - 2384848
Fax : 0484 - 2384209
Website : www.vertexbroking.com
Email : vsl@vertexbroking.com

April 25, 2024

The Manager,
Corporate Relations Dept.,
BSE Ltd.,
P.J. Towers,
Dalal Street, Fort
Mumbai 400001

BSE Scrip Code : 531950

Dear Sir/Madam,

Sub: Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”)

We are enclosing herewith the Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended on 31st March, 2024.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For Vertex Securities Limited

Aniket Malekar

Company Secretary and Compliance Officer
Membership No: A62478

Encl: as above



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April 25, 2024

BSE Limited
Corporate Relationship Department
1st Floor, New Trading Ring,
Rotunda Building, P. J. Towers,
Dalal Street, Fort,
Mumbai - 400 001
BSE Code: 531950

Dear Sirs,

Subject: Compliance Certificate - Regulation 7 (3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

As per the requirement of Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that all activities in relation to both physical and electronic share transfer facility are maintained by the Company's Registrar and Share Transfer Agent, viz., Link Intime India Pvt Ltd a Category-I, Registrar & Share Transfer Agent (RTA), registered with the Securities and Exchange Board of India (SEBI) vide Registration Number: INR000004058 during the period April 1, 2023 to March 31, 2024 (both days inclusive).

Yours faithfully,
For Link Intime India Pvt. Ltd

Yours faithfully,
For Vertex Securities Limited

Name
Designation

Aniket Malekar
Company Secretary and Compliance Officer
Membership No. A62478

STOCK / SHARES / COMMODITY BROKING : DEMAT SERVICES / INVESTMENT CELL

MEMBER : NATIONAL STOCK EXCHANGE, BOMBAY STOCK EXCHANGE, DEPOSITORY PARTICIPANT - NSDL