

# Gautam Gems Ltd

"We stand's for Quality"

Date: 21/04/2021

To  
The General Manager-Listing  
Corporate Relationship Department  
The BSE Limited  
Phiroz Jeejeebhoy Tower  
Dalal Street, Mumbai-400001

**SUBJECT: SUBMISSION OF COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR), 2015 FOR THE HALF YEAR ENDED ON 31<sup>ST</sup> MARCH, 2021**

**REF: GAUTAM GEMS LIMITED (Scrip Code: 540936 )**

Dear Sir/ Madam,

With reference to above subject and pursuant to provision of regulation 40(9) of SEBI (Listing obligation and Disclosure Requirement) Regulations, 2015, please find the attached Compliance Certificate for the Half year ended 31<sup>st</sup> March, 2021.

Please take the same on your record and oblige.

Thanking You

**For, GAUTAM GEMS LIMITED**



**GAUTAM PRAVINCHANDRA SHETH  
MANAGING DIRECTOR**



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# **SHIKHA PATEL & ASSOCIATES**

Company Secretaries

Shikha Patel

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## **CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON 31<sup>st</sup> March, 2021**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **GAUTAM GEMS LIMITED** maintained by **KFin Technologies Private Limited** pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** for the purpose of issuing a Certificate as per regulation 40 (9) of SEBI (LODR) Regulations, 2015 and listing agreement entered into by **GAUTAM GEMS LIMITED** with stock exchanges and basing on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on **31<sup>st</sup> March, 2021**.

- A) has not received any request relating to the transfer of Shares, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from **1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021**.
- B) The Company has not received any request for duplicate share certificate during the six months period ended on **31<sup>st</sup> March, 2021**

**FOR, SHIKHA PATEL & ASSOCIATES  
(PRACTICING COMPANY SECRETARIES)**

**CS SHIKHA PATEL  
(PROPRIETOR)**

**MEM. NO: 43955**

**COP NO: 16201**

**UDIN: A043955C000158960**



**Place: Ahmedabad**

**Date: 22/04/2021**