

# Alka Diamond Industries Limited

To,

Date: 25/01/2019

**The Manager**

**Listing Dept./Market Operation Dept.**

BSE Limited.

14<sup>th</sup> floor, Phiroz Jeejeebhoy Towers,

Dalal Street, Mumbai – 400 023

**Sub : Submission of Certificates under Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015.**

**Ref : Alka Diamond Industries Limited (Scrip Code-531581, Scrip ID- ALKADIA)**

Dear Sir/Madam,

With reference to above noted subject we are submitting Certificates under Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 for the period from April 2018 to September 2018.

Please take on record the same.

Thanking you,

Yours truly,

**For Alka Diamond Industries Limited**



**Gopal M. Verma**  
**Managing Director**

**DIN: 01687709**

**Encl: a/a.**



CIN : L36911MH1989PLC053685

Regd. Office : 626, Panchratna, M P Road, Opera House, Mumbai – 400 004  
Tel.: +91 22 4004 8189. Web Site: [alkadiamond.com](http://alkadiamond.com). E-Mail: [alkadiamond@rediffmail.com](mailto:alkadiamond@rediffmail.com)





2, Swami Sadan, M. G. Road, Kandivali (West), Near Swimming Pool Bus Stop, Mumbai - 67. • Mob.: 09819888185  
Tel.: 022 28643344 • Telefax : 022 28091177 • E-mail : agarwalkala@gmail.com  
801, Embassy Centre, Plot No. 207, Jamnalal Bajaj Road, Nariman Point, Mumbai-21. • Office : 7021597117 / 9137774259  
[www.kalaagarwal.com](http://www.kalaagarwal.com)

**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATION AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015  
FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2018**

To,  
The Board of Directors,  
**Alka Diamond Industries Limited**  
Mumbai

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Alka Diamond Industries Limited** maintained by **M/s. Sharex Dynamic (India) Private Limited** pertaining to transfer of equity shares of the Company for the period from **01<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018** for the purpose of issuing a Certificate as per Clause 40(9) of the Listing Regulations entered into by **M/s. Alka Diamond Industries Limited** and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on **30<sup>th</sup> September, 2018:**

- A) Share Certificates relating to the transfer of Shares received during the period from **01<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018** as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgement for transfer from respective date of lodgement of each deed excepting those rejected on technical grounds; and
- B) has not received any request for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies.



*Kala*  
**KALA AGARWAL**  
(Practising Company Secretary)  
C.P. No. 5356

Place: Mumbai  
Date: 25/01/2019