

# Uttam Value Steels Limited

Registered Office: Uttam House, 69, P. D'Mello Road, Mumbai – 400 009  
Tel.: +91-22-6656 3500 \* Fax: +91-22-2348 5025  
CIN No.: L27100MH1970PLC014621  
Website : www.uttamvalue.com



27<sup>th</sup> October, 2020

To, The Listing Manager, BSE Limited, P.J. Towers, Dalal Street, Mumbai - 400001 <u>Scrip Code: 500254</u>	To, The Listing Manager, The National Stock Exchange of India Limited Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C/1, G Block, Bandra Kurla Complex Bandra (East), Mumbai - 400 051 <u>SYMBOL: UVSL</u>
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Dear Sir/Madam,

**Sub: Certificate under Regulation 40 (9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015.**

Please find enclosed herewith a Certificate under Regulation 40(9) dated 27<sup>th</sup> October, 2020 issued by Practicing Company Secretary namely, Mr. Manish Gupta certifying compliance of Regulation 40 (9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 by the R & T Agent of the Company namely, M/s Bigshare Service Private Limited for the half year from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020.

Kindly take the same on your record.

Thanking you,  
Yours truly,  
**For Uttam Value Steels Limited**

**Sd/-**  
**Ram Gaud**  
**Associate Vice President & Company Secretary**

Encl: As above

Note: As permitted, this compliance is being submitted under "Sd/-" mode pursuant to guidelines issued by both the stock exchanges for submissions of compliances in the wake of outbreak of the COVID pandemic.

To,  
The Board of Directors,  
**UTTAM VALUE STEELS LIMITED**  
4<sup>th</sup> Floor, Uttam House, 69,  
P. D'Mello Road, Mumbai - 400009

**CERTIFICATE UNDER CLAUSE 40(9) OF THE SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>th</sup> September, 2020**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **UTTAM VALUE STEELS LIMITED** (the Company) maintained by **BIGSHARE SERVICES PRIVATE LIMITED**, Company's Registrar & transfer Agents, pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020** for the purpose of issuing a Certificate as per Clause 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by the Company with National Stock Exchange of India Limited and BSE Limited and based on the information provided by the Company, I hereby certify that the Company has delivered in respect of the half year ended **30<sup>th</sup> September, 2020**:

- a) The Company has not received any request relating to the transfer of shares during the period from **1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020**; and
- b) The Company has neither issued any debenture nor carried out any activity such as sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, during the period under consideration, hence information in this regards could not commented.

**For VKMG & Associates LLP**  
**Company Secretaries**  
**FRN: L2019MH005300**

MANISH R GUPTA  
Digitally signed  
by MANISH R  
GUPTA  
Date: 2020.10.27  
13:07:24 +05'30'

**Manish Gupta**  
**Partner**  
**ACS-43802, CP-16067**  
**Place: Mumbai**  
**Date : 27-10-2020**  
**UDIN: A043802B001068641**