



RISHABH INSTRUMENTS LIMITED

(Formerly Rishabh Instruments Private Limited)

April 28, 2024

To,
National Stock Exchange of India Limited,
Exchange Plaza, Plot No. C/1, G Block, Bandra-
Kurla Complex, Bandra (East), Mumbai –
400051
NSE Symbol: RISHABH

To,
BSE Limited,
Phiroze Jeejeebhoy Towers,
21st Floor, Dalal Street,
Mumbai – 400001
BSE Scrip Code: 543977

Dear Sir/ Madam,

Sub: Compliance Certificate as per Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Please find enclosed herewith certificate required as per Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2024.

Kindly take the same on your records.

For Rishabh Instruments Limited

Ajinkya Joglekar
Company Secretary and Compliance Officer
ICSI Membership No.: A57272



Measure



Control



Record



Analyze



Optimize

CERTIFICATE UNDER REGULATION 40(9) & (11) OF SEBI (LISTING OBLIGATIONS AND LISTING REQUIREMENTS) REGULATIONS, 2015

FOR THE FINANCIAL YEAR ENDED ON 31ST MARCH 2024

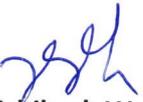
We have examined the registers files, reports, and other documents relating to **RISHABH INSTRUMENTS LIMITED ("the Company")**, having its registered office at A-54, MIDC, Opposite, MIDC Bus Depot, Andheri (East), Mumbai, Maharashtra, 400093, maintained by **KFin Technologies Limited** as company's Registrar and Transfer Agents ("**RTA**") pertaining to transfer or transmission of securities for the period from 11th September, 2023 (i.e. date of listing of Equity shares) to 31st March 2024 ("**Audit period**") for the purpose of issuing certificate under sub-regulation (9) of Regulation 40 of the SEBI (Listing Obligations And Listing Requirements) Regulations, 2015.

Based on documents, information and explanations furnished to us and to the best of our knowledge and belief, we hereby certify that, during the financial year ended on 31st March 2024 all the securities of the Company are held in dematerialized form and that,

- a) The RTA has not received or processed any requests for the transfer or transmission of shares held in physical form during the audit period.
- b) The RTA has not received or processed any request relating to transposition, sub-division, consolidation, replacement, renewal, exchange or endorsement of calls/allotment monies of shares held in physical form. Further, it was not required to issue Letter of Confirmation pursuant to the SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022.

* The Company's equity shares got listed on the stock exchanges on 11th September 2023 and hence, the audit period is considered from the date of listing till the end of the financial year.

**FOR KANJ & CO. LLP,
COMPANY SECRETARIES**


Hrishikesh Wagh

Designated Partner

Membership No: 7993

CP No: 9023

UDIN: F007993F000252325

Peer Review Certificate No.: 1331/2021



Place: Pune

Date: 26th April 2024