

March 19, 2024

Ref.: SSFB/CS/114/2023-24

To,

National Stock Exchange of India Limited

The Listing Department Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E), Mumbai - 400051

Symbol: **SURYODAY** 

Dear Sir/ Madam,

**BSE Limited** 

The Corporate Relationship Department Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai - 400 001

Scrip Code: **543279** 

Sub: Intimation under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

This is to inform you that the Bank has received a communication on March 19, 2024, from the Reserve Bank of India ("**RBI**") intimating about the imposition of a monetary penalty of Rs. 10,000/-(Rupees Ten Thousand only) for not extending the exchange facilities for the soiled/mutilated notes to the non-customers as prescribed by RBI vide RBI Master Direction No. RBI/2023-24/97 DCM (NE) No. G-2/08.07.18/2023-24 dated April 3, 2023.

The said penalty is as per the provisions contained in RBI Master Direction RBI/2023-24/04 DCM (CC) G-3/03.44.01/2023-24 dated April 03, 2023 updated from time to time on "Scheme of Penalties for bank branches including Currency Chests for deficiency in rendering customer service to the members of the public.

Information as required under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with Schedule III and the SEBI Circular No. SEBI/HO/CFD/CFD-PoD1/P/CIR/2023/123 dated July 13, 2023, is enclosed as *Annexure A*.

This intimation shall be available on the Bank's website at: <a href="https://www.suryodaybank.com/Equity-Compliances-23-24">https://www.suryodaybank.com/Equity-Compliances-23-24</a>.

The above is submitted for your kind information and appropriate dissemination.

Thanking You,

Yours truly,

For Suryoday Small Finance Bank Limited

Krishna Kant Chaturvedi Company Secretary and Compliance Officer



## Annexure -A

Information as required under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with Schedule III and the SEBI Circular No. SEBI/HO/CFD/CFD-PoD1/P/CIR/2023/123 dated July 13, 2023

Sr. No.	Details of Events that need to be provided	Details / Information of such
		events(s)
1	Name of the authority	Issue Department, Reserve Bank of India
2	Nature and details of the action(s) taken, initiated or order(s) passed	Rs. 10,000/- for not extending the exchange facilities for the soiled/mutilated notes to the non-customers as prescribed by RBI vide RBI Master Direction No. RBI/2023-24/97 DCM (NE) No. G- 2/08.07.18/2023-24 dated April 3, 2023
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	Communication received on March 19, 2024, from Reserve Bank of India.
4	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Not extending the exchange facilities for the soiled/mutilated notes to the non- customers as prescribed by RBI vide RBI Master Direction No. RBI/2023-24/97 DCM (NE) No. G-2/08.07.18/2023-24 dated April 3, 2023
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Rs. 10,000/- (Rupees Ten Thousand only)

For Suryoday Small Finance Bank Limited

Krishna Kant Chaturvedi Company Secretary and Compliance Officer