



# THE COCHIN MALABAR ESTATES AND INDUSTRIES LIMITED

Regd. Off. : 21, STRAND ROAD, KOLKATA - 700 001, PHONE : 2230 9601 (4 LINES)  
FAX : 00 91 033 2230 2105, E-mail : info@joonktolleeta.in

**CIN-L01132WB1991PLC152586**

April 16, 2021

**BSE Limited**

Corporate Relationship Department  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai - 400 001

Dear Sir,

**Scrip Code : 508571**

**Sub : Submission of Certificate under Regulation 40(9)**

With reference to the above, we hereby forward the Certificate dated 13/04/2021 under Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015 for the half year ended 31<sup>st</sup> March, 2021 as received from Ms. Sweety Kapoor, Practising Company Secretary.

Thanking You,

Yours faithfully,

For **THE COCHIN MALABAR ESTATES AND INDUSTRIES LTD.**

*Mohit Kaudoi*

Company Secretary  
Membership No. ACS 49202

Encl : As above.

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2021**

The Securities and Exchange Board of India ("SEBI") vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 1<sup>st</sup> April, 2019. SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 1<sup>st</sup> April, 2019. Further, SEBI vide its circular dated 7<sup>th</sup> September, 2020 has fixed 31<sup>st</sup> March, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 2<sup>nd</sup> December, 2020.

Accordingly, we have examined the relevant books and records, files and other documents of **M/s. The Cochin Malabar Estates and Industries Limited ("the Company")** having its Registered office at 21, Strand Road, Kolkata - 700 001, West Bengal, maintained and produced electronically by their Registrar and Share transfer Agent **M/s. Maheshwari Datamatics Pvt. Ltd. ("RTA")** i.e., pertaining to transfer of shares of the Company for the period started from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 ("Period") for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities Exchange Board of India ("SEBI") (Listing Obligations and Disclosure Requirements) Regulations, 2015 to the Company and based on the information provided, we hereby certify that:

- The Company has not transferred any physical shares during the said period;
- Share Certificate(s) in respect of requests for transmission of shares have been issued within 21 days of lodgement;
- The Company has not received any requests for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies, duplicate issue of share certificates as the case may be, during the Period.

Place: Kolkata

Date: 13-04-2021



*Sweety Kapoor*  
(Sweety Kapoor)  
Practising Company Secretary  
FCS - 6410, COP - 5738  
UDIN: F006410C000079901