



Trio Mercantile & Trading Limited

613/B, Mangal Aarambh, Near Mc. Donalds, Kora Kendra, R. M. Bhattad Road,
Borivali (W), Mumbai - 400 092. • Tel. : 2833 5999 / 2833 5998
www.triomercantile.com • CIN : L51909MH2002PLC136975

12.04.2024

To,
Department of Corporate Services
BSE Limited,
P J Tower, Dalal Street,
Mumbai 400 001

Sub : Submission of Compliance Certificate Reg 40(9)

Dear Sir,

We hereby enclose the Compliance Certificate Reg 40(9) of the Company for the year ended as on 31st March, 2024.

Kindly acknowledge receipt of the same and take it on record

Thanking you,

Yours faithfully,

For Trio Mercantile and Trading Limited

Director
Bhadresh Shah
DIN :08028036

**Compliance Certificate of Trio Mercantile and Trading Limited in terms of Regulation
40(9) of the SEBI (Listing Obligation & Disclosure Requirements) Regulation, 2015**

For the year ended March 31, 2024

We have examined all records, registers, files and other documents relating to TRIO MERCANTILE AND TRADING LIMITED [CIN: L51909MH2002PLC136975] (hereinafter referred to as the "Company") maintained by **Link Intime India Private Limited** (hereinafter referred to as the "Registrar and Share Transfer Agents / RTA") pertaining to transfer of Equity Share(s) of the Company for the year commencing from **April 01, 2023 to March 31, 2024** (hereinafter referred to as the "year") for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (listing obligations and disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and the Registrar and Share Transfer Agent, We do and hereby certify that during the year:

- The Company has not received any application for transfer / sub-division/ consolidation / renewal / exchange in respect of shares of the Company.
- There are no cases of requests for exchange of Duplicate and Split certificates.

Yours faithfully,

For: M/s. Deep Shukla & Associates

Company Secretaries



Deep Shukla

Practicing Company Secretaries

FCS : 5652; CP : 5364

UDIN: F005652F000077537

Date: 10/04/2024

Place: Mumbai