



Trans Asia Corporation Ltd.

Regd. Office: 110, Royal Ratan, 7 M. G. Road, Indore (M.P.) – 452 001,
Phone: +91 731 2526767, Fax : +91 731 4091065, Email: info@transasiagroup.org
Corporate Identity Number: L15143MP1993PLC007872

Date: 14.04.2021

To,
Department of Corporate Services,
Bombay Stock Exchange Limited,
Floor 25, Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai – 400 001.

Ref: Scrip Code: 530783

Sub.: Compliance Certificate pursuant to Regulation 40 (9) of the SEBI Listing Regulations 2015 (LODR)

Dear Madam,

Pursuant to Regulation 40 (9) of the SEBI Listing Regulations 2015 (LODR), we hereby furnish a copy of the Compliance Certificate issued by Practicing Company Secretary for the period ended 31st March, 2021.

Kindly take the above on record and acknowledge receipt of the same.

Thanking you,

Thanking you,
Yours faithfully,
For Trans Asia Corporation Limited

Shikha Malpani
Company Secretary

Encl: As Above

Note: The Voluntary Liquidation process of the company has commenced w.e.f 06.04.2021 and Mr. Manoj Sehgal, an Insolvency Professional has been appointed as Liquidator. The same has also been separately communicated to you vide mail dated 10.04.2021 by the Liquidator.

Without prejudice to above, the compliance is being submitted herewith.



Shruti Somani
Practicing Company Secretary

Harsh Villa, Plot No 157,
RSC Road No.50, Gorai 2.
Borivali (West), Mumbai-400 092
Phone No.: 022 40163976
Email ID: shrutisomani91@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS 2015**

FOR THE HALF YEAR ENDED 31ST MARCH 2021

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *Trans Asia Corporation Limited* maintained by *Big Shares Services Pvt. Ltd* (“**The Company**”), the Registrar and Share Transfer Agent, pertaining to transfer of equity shares of the Company for the period from *1st October 2020* to *31st March 2021* for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided and confirmed by Registrar and Share Transfer Agent, we hereby certify that the Company has delivered during half year ended on *31st March, 2021*:

- A) During the period, the Company has not received any request regarding share transfer, sub-division, consolidation, renewal and exchange.
- B) During the period, the Company has not received any request regarding exchange of duplicate and Split Share certificates.

For Shruti Somani
Practicing Company Secretaries

SHRUTI
SATYANARAYAN SOMANI
AN SOMANI

Digitally signed by
SHRUTI SATYANARAYAN
Date: 2021.04.13
11:44:45 +05'30'

Shruti Somani
C.P No. 22487
ACS No. 49773

UDIN: A049773C000071256

Date: 12/04/2021
Place: Mumbai