

LOHIA SECURITIES LTD.

■ MEMBER : NSE, BSE, MCX-SX, USE, CSE



DEPOSITORY PARTICIPANT : NSDL, CDSL

Regd. Office : 4, Biplabi Trailokya Maharaj Sarani, (Brabourne Road), 5th Floor, Kolkata - 700 001 Phone : +91 4002 6600 / 6700, Fax : +91-4002 6800 Website : www.lohiasecurities.com Email : info@lohiasecurities.com Complaint : grievance@lohiasecurities.com

CIN No. L67120WB1995PLC067195

February 9, 2021

The Secretary The Calcutta Stock Exchange Ltd. 7 Lyons Range Kolkata-700 001

Dear Sir/ Madam,

Sub: Outcome of Board Meeting held on 9th February, 2021 <u>Ref: SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015</u>

Pursuant to SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, this is to inform you that the Board of Directors of the Company at their meeting held today 9th February, 2021, considered and approved the Statement of Unaudited Standalone and Consolidated Fianncial Results of the Company for the quarter and nine months ended 31st December, 2020 and authorised Mr. Sudheer Kumar Jain, Whole-time Director to sign the results to be submitted to stock exchanges.

Statement of Unaudited Standalone and Consolidated Financial Results and Limited Review Report for the quarter and nine months ended 31st December, 2020 along with the Press Release is enclosed. The said Results are also being published in the newspapers as required under the SEBI (LODR) Regulations, 2015.

The Board Meeting commenced at 2.00 P.M. and concluded at 4.30 P.M.

We request you to take the above information on records.

Yours Faithfully, For **Lohia Securities Limited**

Narendra Kumar Rai Company Secretary Membership No. F5879

Copy to: The Listing Department (Corporate Relations) BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street Mumbai 400 001

.._Scrip Code: 590082

— Institutional Dealing Office : —

1602-B, Lady Ratan Tower, 72, Dainik Shivner Marg, Gandhinagar, Worli, Mumbai - 400 018 Phone : +91 22 2261 0552/0562, 2492 4449/4462, 2490 1308, Fax : +91 22 2490 1310

Independent's Auditors Limited Review Report

To The Board of Directors **Lohia Securities Limited**

We have reviewed the accompanying statement of unaudited financial results of **Lohia Securities Limited** for the quarter ended on 31st December, 2020 and for the period from 1st April' 2020 to 31st December' 2020. This statement is the responsibility of the Company's Management and has been approved by the Board of Directors. Our responsibility is to issue a report on these financial statements based on our review.

We conducted our review of the Statement in accordance with the Standard on Review Engagement (SRE) 2410, "Review of Interim Financial Information Performed by the Independent Auditor of the Entity", issued by the Institute of Chartered Accountants of India. This standard requires that we plan and perform the review to obtain moderate assurance as to whether the financial statements are free of material misstatement. A review is limited primarily to inquiries of company personnel and analytical procedures applied to financial data and thus provide less assurance than an audit. We have not performed an audit and accordingly, we do not express an audit opinion.

Based on our review conducted as above, nothing has come to our attention that causes us to believe that the accompanying statement of unaudited financial results prepared in accordance with applicable Indian Accounting Standards (Ind AS) and other recognized accounting practices and policies has not disclosed the information required to be disclosed in terms of Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 including the manner in which it is to be disclosed, or that it contains any material misstatement.

Place: Kolkata

For VASUDEO & ASSOCIATES CHARTERED ACCOUNTANTS (Firm Regn. No. 319299E)

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Saurabh Modi (Partner) Membership No. 303815 UDIN: 21303815AAAAAT1488

5 & 6, Fancy Lane, 3rd Floor, R. No. : 9, Kolkata – 700 001 – Phone (O) 2248 8986 , Fax : +91-33-2242 8183 E-mail :- vda.audit@gmail.com, vdainfo@gmail.com Branch Office :- 47, N.S.B Road, Raniganj – 713347, West Bengal

Account

Dated: The 9th day of February' 2021

Statement of Standalone Financial Results for the Quarter/Nine month ended 31st December, 2020:

	Amoun Unaudited Unaudited							
			Unaudited		Audited			
Sr. No.	Particulars	Q	uarter Ende	d	Nine mor	Year ended		
INO.		31.12.20	30.09.20	31.12.19	31.12.20	31.12.19	31.03.20	
I	Revenue from Operations	01111110	00000120	011111	01111110	U III III		
i	Interest Income	53.26	57.71	74.41	176.11	197.92	299.86	
ii	Dividend Income	2.26	0.14	0.11	2.40	1.04	5.97	
iii	Fees and Commission Income	88.44	97.73	66.89	247.44	224.32	301.68	
iv	Net gain on Fair Value Changes	684.68	589.06	539.14	2,004.74	1,423.70	2,178.89	
v	Sale of products	2,033.96	1,833.10	1,456.69	4,763.73	3,781.72	4,687.76	
vi	Sale of services	-	-		-	-	-	
vii	Others Operating Income	3.43	(5.50)	6.95	3.43	35.09	43.59	
	Total Revenue from Operations (I)	2,866.03	2,572.24	2,144.19	7,197.85	5,663.79	7,517.75	
п	Other Income	- 2	0.01	0.37	0.01	0.58	1.36	
III	Total Income (I+II)	2,866.03	2,572.25	2,144.56	7,197.86	5,664.37	7,519.11	
IV	EXPENSES							
i	Finance Costs	45.08	56.17	77.66	166.29	219.89	315.94	
ii	Fees and Commission Expense	1.86	1.50	3.53	4.58	5.55	5.65	
iii	Impairment on Financial Instruments	0.67	1.42	2.28	2.09	14.30	17.35	
iv	Purchase of stock-in-trade	2,301.41	1,793.16	1,487.40	5,067.48	3,786.57	4,611.00	
v	Changes in Inventories of Finished Goods, Stock-In-Trade and Work-in-Progress	(190.09)	(51.21)	28.35	(385.76)	63.99	208.23	
vi	Employee Benefits Expense	312.19	294.69	265.27	855.75	817.79	1,120.92	
vii	Depreciation and Amortisation Expenses	5.90	5.23	7.11	15.59	19.64	26.72	
viii	Other Expenses	181.52	189.92	138.14	518.75	429.12	610.42	
	Total Expenses (IV)	2,658.54	2,290.88	2,009.74	6,244.77	5,356.85	6,916.23	
v	Profit/(Loss) before Exceptional Items and Tax (III - IV)	207.49	281.37	134.82	953.09	307.52	602.88	
VI	Exceptional Items	-	-	-	-	-	-	
VII	Profit/(Loss) before Tax (V - VI)	207.49	281.37	134.82	953.09	307.52	602.88	
VIII	<u>Tax Expense:</u> Current Tax	-	-	2. _	_	-	152.20	
	Deferred Tax	0.20	(0.06)	(6.91)	(3.42)	(2.37)	6.73	
IX	Profit/(loss) for the period (VII- VIII)	207.29	281.43	141.73	956.51	309.89	443.95	

LOHIA SECURITIES LTD. Sudder Kume M Jo

Director



	Amount (₹ in Lakhs									
		Unaudited Unaudited				udited	Audited			
Sr. No.	Particulars	Quarter Ended			Nine mor	nth ended	Year ended			
		31.12.20	30.09.20	31.12.19	31.12.20	31.12.19	31.03.20			
х	Other Comprehensive Income									
a.i	Items that will not be reclassified to profit or loss	-	-	-	-	· _	14.44			
a.ii	Income tax relating to items that will not be reclassified to profit or loss		-	-	-	-	(3.63)			
b.i	Items that will be reclassified to profit or loss	-	-	-	-	-	-			
b.ii	Income tax relating to items that will be reclassified to profit or loss	-	-	·		-	-			
	Other Comprehensive Income for the period (Net of Tax) (X)	-	2 X _	-	-	-	10.81			
XI	Total Comprehensive Income for the period (IX + X)	207.29	281.43	141.73	956.51	309.89	454.76			
XII	Earnings Per Share					1	· · · ·			
i	Basic (Equity Share Face Value ₹ 10/- each)	4.16	5.65	2.84	19.20	6.22	8.91			
ii	Diluted (Equity Share Face Value ₹ 10/- each)	4.16	5.65	2.84	19.20	6.22	8.91			

Statement of Standalone Financial Results for the Quarter/Nine month ended 31st December, 2020:

For and on behalf of the Board of Directors

Sudhern Kuman Varia

Sudheer Kumar Jain Director Whole Time Director DIN: 00075103 Place: Kolkata Dated: 09.02.2021



Standalone Notes :

- 1 The financial results have been reviewed by the Audit Committee and taken on record by the Board of Directors of the Lohia Securities Limited (the 'Company') at its Meeting held on 9th February, 2021.
- 2 This statement has been prepared in accordance with the requirements of Regulations 33 and Regulations 52 read with Regulation 63 of the listing regulations, read with SEBI Circulars CIR/CFD/FAC/62/2016 dated 5 July 2016 and CIR/IMD/DF1/69/ 2016 dated 10 August 2016.
- 3 The Statutory Auditors of the Company have carried out the Limited Review of the results for the quarter ended December 31, 2020.
- 4 The Company does not have more than one reportable segment in line with the Indian Accounting Standards ("Ind AS") and hence, segment reporting is not applicable.
- 5 Provision for Income Tax (if any) shall be made at the end of the financial year.
- ⁶ Corresponding figures of the previous periods / year have been regrouped or rearranged wherever considered necessary.

For and on behalf of the Board of Directors LOHIA SECURITIES LTD.

Judhan Kuman Jai

Director Sudheer Kumar Jain Whole Time Director DIN: 00075103 Place: Kolkata Dated: 09.02.2021





VASUDEO & ASSOCIATES Chartered Accountants

Independent's Auditors Limited Review Report

To The Board of Directors **Lohia Securities Limited**

- We have reviewed the accompanying Statement of Consolidated Unaudited Financial Results of Lohia Securities Limited ("the Parent") and its subsidiaries (the Parent and its subsidiaries together referred to as "the Group") and its share of the net profit/(loss) after tax and total comprehensive income / loss of its associate, for the quarter ended 31st December' 2020 and for the period from 1st April' 2020 to 31st December' 2020 ("the Statement"), being submitted by the Parent pursuant to the requirement of Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended.
- 2. This Statement, which is the responsibility of the Parent's Management and approved by the Parent's Board of Directors, has been prepared in accordance with the recognition and measurement principles laid down in Indian Accounting Standard 34 "Interim Financial Reporting" ("Ind AS 34"), prescribed under Section 133 of the Companies Act, 2013, and other accounting principles generally accepted in India. Our responsibility is to express a conclusion on the Statement based on our review.
- 3. We conducted our review of the Statement in accordance with the Standard on Review Engagements (SRE) 2410 "Review of Interim Financial Information Performed by the Independent Auditor of the Entity", issued by the Institute of Chartered Accountants of India. A review of interim financial information consists of making inquiries, primarily of persons responsible for financial and accounting matters, and applying analytical and other review procedures. A review is substantially less in scope than an audit conducted in accordance with Standards on Auditing and consequently does not enable us to obtain assurance that we would become aware of all significant matters that might be identified in an audit. Accordingly, we do not express an audit opinion.

We also performed procedures in accordance with the circular issued by the SEBI under Regulation 33(8) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, to the extent applicable.

- 4. The Statement includes the results of the following entities:
 - a) Trade City Securities Private Limited (Subsidiary company)
 - b) Trade City Commodities Private Limited (Subsidiary company)

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- c) Trade City Barter Private Limited (Subsidiary company)
- d) Trade City Real Estate Private Limited (Subsidiary company)
- e) Daadi Stock Broking Private Limited (Associate company)
- 5. Based on our review conducted and procedures performed as stated in paragraph 3 above and subject to paragraph 6 below, nothing has come to our attention that causes us to believe that the accompanying Statement, prepared in accordance with the recognition and measurement principles laid down in the aforesaid Indian Accounting Standard and other accounting principles generally accepted in India, has not disclosed the information required to be disclosed in terms of Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, including the manner in which it is to be disclosed, or that it contains any material misstatement.
- 6. We did not review the interim financial results of "4" subsidiaries included in the consolidated unaudited financial results, whose interim financial results reflect total revenue of ₹ 31.72 Lakhs and ₹ 58.40 Lakhs, total net profit / (loss) after tax of ₹ 17.95 Lakhs and ₹ 2.25 Lakhs and total comprehensive income / (loss) of ₹ 17.95 Lakhs and ₹ 2.25 Lakhs for the quarter ended 31st December, 2020 and for the period from 1st April' 2020 to 31st December' 2020, as considered in the consolidated unaudited financial results. The consolidated unaudited financial results also includes the Group's share of net profit/(loss) after tax of ₹ 0.25 Lakhs and ₹ 0.25 Lakhs and total comprehensive income / (loss) of (₹ 4.31 Lakhs) and (₹ 4.31 Lakhs) for the quarter ended 31st December, 2020 and for the period from 1st April, 2020 to 31st December' 2020, respectively, as considered in the consolidated unaudited financial results, in respect of "1" associate, whose interim financial results have not been reviewed by us. These interim financial results have not been reviewed by us us these interim financial results are not material to the Group.

Our conclusion on the Statement is not modified in respect of the above matter.

Place: Kolkata

Dated: The 9th day of February' 2021

For VASUDEO & ASSOCIATES CHARTERED ACCOUNTANTS & Ass (Firm Regn. No. 319299E)

Saurabh Modi

Membership No. 303815 UDIN: 21303815AAAAAT1488

5 & 6, Fancy Lane, 3rd Floor, R. No. : 9, Kolkata – 700 001 – Phone (O) 2248 8986 , Fax : +91-33-2242 8183 E-mail :- vda.audit@gmail.com, vdainfo@gmail.com Branch Office :- 47, N.S.B Road, Raniganj – 713347, West Bengal

	Amount (₹ i							
			Unaudited		Unau	dited	Audited	
Sr. No.	Particulars	Q	uarter Ende	ed	Nine month ended		Year Ended	
		31.12.20	30.09.20	31.12.19	31.12.20	31.12.19	31.03.20	
Ι	Revenue from Operations							
i	Interest Income	57.76	62.77	80.22	191.38	212.95	320.09	
ii	Dividend Income	2.26	0.14	0.52	2.40	1.45	5.97	
iii	Fees and Commission Income	88.47	97.82	66.81	247.40	223.90	301.13	
iv	Net gain on Fair Value Changes	704.87	599.18	536.74	2,035.93	1,432.88	2,218.89	
v	Sale of products	2,038.40	1,833.10	1,456.69	4,768.25	3,790.56	4,696.91	
vi	Sale of services		-	(0.06)	-	-	· -	
vii	Others Operating Income	3.43	(5.50)	6.95	3.43	35.57	43.59	
	Total Revenue from Operations (I)	2,895.19	2,587.51	2,147.87	7,248.79	5,697.31	7,586.58	
II	Other Income	(0.00)	0.01	0.37	0.01	1.08	1.36	
III	Total Income (I+II)	2,895.19	2,587.52	2,148.24	7,248.80	5,698.39	7,587.94	
IV	EXPENSES							
i	Finance Costs	53.78	65.79	85.87	194.11	242.15	345.64	
ii	Fees and Commission Expense	1.86	1.50	3.53	4.58	5.55	5.65	
iii	Net loss on Fair Value Changes	(6.46)	6.46	-	-	, ,		
iv	Impairment on Financial Instruments	0.67	1.42	2.28	2.09	14.30	16.48	
v	Purchase of stock-in-trade	2,301.41	1,793.16	1,487.40	5,067.48	3,793.70	4,618.13	
vi	Changes in Inventories of Finished Goods, Stock-In-Trade and Work-in-Progress	(185.72)	(50.94)	29.21	(382.52)	66.58	213.85	
vii	Employee Benefits Expense	312.29	294.69	266.20	856.50	820.66	1,125.02	
viii	Depreciation, Amortisation and Impairment	8.45	7.65	9.54	22.95	26.64	36.19	
ix	Other Expenses	183.46	168.73	140.92	527.29	436.72	622.82	
	Total Expenses (IV)	2,669.74	2,288.46	2,024.95	6,292.48	5,406.30	6,983.78	
v	Profit/(Loss) before Exceptional Items and Tax (III - IV)	225.45	299.06	123.29	956.32	292.09	604.16	
VI	Exceptional Items	-	-	-	-	-		
VII	Profit/(Loss) before Tax (V - VI)	225.45	299.06	123.29	956.32	292.09	604.16	

Statement of Consolidated Financial Results for the Quarter/Nine month ended 31st December, 2020:

LOHIA SECURITIES LAND. Sudhman Kuman Vout

Director

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Statement of Consolidated Financial Results for the Quarter/Nine month ended 31st December, 2020:

	1						₹ in Lakhs)
			Unaudited		Unau	Audited	
Sr. No.	Particulars	Q	uarter Ende	d	Nine mor	nth ended	Year Ended
		31.12.20	30.09.20	31.12.19	31.12.20	31.12.19	31.03.20
VIII	<u>Tax Expense:</u> Current Tax	-	_	(0.71)		(0.69)	158.73
	Deferred Tax	0.21	(2.65)	(6.91)	(2.44)	(2.37)	9.51
IX	Profit/(Loss) after tax before share of profit/(loss) of associate (VII - VIII)	225.24	301.71	130.91	958.76	295.15	435.92
х	Share of Profit / (Loss) of associates	0.25	-	-	0.25	-	-
XI	Profit/(loss) for the period (IX + X)	225.49	301.71	130.91	959.01	295.15	435.92
XII	Other Comprehensive Income						
a.i	Items that will not be reclassified to profit or loss	-	-	-	-	-	9.05
a.ii	Income tax relating to items that will not be reclassified to profit or loss	-	-	_	ал — — — — — — — — — — — — — — — — — — —	-	(3.63)
a.iii	Share of OCI in Associates relating to items that will not be reclassified to profit or loss (net of income tax)		-	-	,		411 42
b.i	Items that will be reclassified to profit or loss	-	-	-	-	-	-
b.ii	Income tax relating to items that will be reclassified to profit or loss	-	-	-	.≂		(8), 9).
b.iii	Share of OCI in Associates relating to items that will be reclassified to profit or loss (net of income tax)	(4.56)	-	-	(4.56)	· · -	- <u>-</u> %
	Other Comprehensive Income for the period (Net of Tax) (XII)	(4.56)	-	-	(4.56)	-	5.42
XIII	Total Comprehensive Income for the period (XI + XII)	220.93	301.71	130.91	954.45	295.15	441.34

LOHIA SECURITIES LID.

Judham Kumom No."

ered Accou

Director

						Amount	(₹ in Lakhs)
		Unaudited			Unaudited		Audited
Sr. No.	Particulars	31.12.20 30.09.20 31.12.19 31.12.20 31.12.19	Year Ended				
		31.12.20	30.09.20	31.12.19	31.12.20	31.12.19	31.03.20
XIV	Earnings Per Share						
1 1	Basic (Equity Share Face Value ₹ 10/- each)	4.71	6.31	2.74	20.07	6.18	9.12
ii	Diluted (Equity Share Face Value ₹ 10/- each)	4.71	6.31	2.74	20.07	6.18	9.12

Statement of Consolidated Financial Results for the Quarter/Nine month ended 31st December, 2020:

For and on behalf of the Board of Directors

Judhan Kuppen Jon Director



Sudheer Kumar Jain Whole Time Director DIN: 00075103 Place: Kolkata Dated: 09.02.2021

Consolidated Notes:

- 1 The financial results have been reviewed by the Audit Committee and taken on record by the Board of Directors of the Lohia Securities Limited (the 'Company') at its Meeting held on 9th February, 2021.
- 2 This statement has been prepared in accordance with the requirements of Regulations 33 and Regulations 52 read with Regulation 63 of the listing regulations, read with SEBI Circulars CIR/CFD/FAC/62/2016 dated 5 July 2016 and CIR/IMD/DF1/69/2016 dated 10 August 2016.
- 3 The Statutory Auditors of the Company have carried out the Limited Review of the results for the quarter ended December 31, 2020.
- 4 The Company does not have more than one reportable segment in line with the Indian Accounting Standards ("Ind AS") and hence, segment reporting is not applicable.
- 5 The consolidated results represent results of Lohia Securities Ltd. and its subsidiaries Trade City Securities (P) Ltd. (100%), Trade City Commodities (P) Ltd. (100%), Trade City Real Estate (P) Ltd. (100%), Trade City Barter (P) Ltd. (100%) and its associate Daadi Stock Broking (P) Ltd. (43.81%) have been prepared in accordance with Ind AS 110 Consolidated Financial Statement. The results for Trade City Securities (P) Ltd. (100%), Trade City Commodities (P) Ltd. (100%), Trade City Real Estate (P) Ltd. (100%), Trade City Barter (P) Ltd. (100%) and Daadi Stock Broking (P) Ltd. (43.81%) have been consolidated on basis of the management representation.
- 6 Provision for Income Tax (if any) shall be made at the end of the financial year.
- 7 Corresponding figures of the previous periods / year have been regrouped or rearranged wherever considered necessary.

For and on behalf of the Board of Directors

Sudheer Kumar Jain Director Whole Time Director DIN: 00075103 Place: Kolkata Dated: 09.02.2021



LOHIA SECURITIES LIMITED

CIN:L67120WB1995PLC067195

Registered Office: 4, Biplabi Trailokya Maharaj Sarani(Brabourne Road),5th Floor,Kolkata-700001 E-mail: info@lohiasecurities.com Website: www.lohiasecurities.com Telephone No. 033- 4002-6600/ 6700 Tele-fax : 033-4002-6800

	(Rs.in Lakhs except EF							
			Standalone		Consolidated			
SN.	Particulars	Quarter ended 31.12.2020	Quarter ended 31.12.2019	Nine Months ended 31.12.2020	Quarter ended 31.12.2020	Quarter ended 31.12.2019	Nine Months ended 31.12.2020	
		(Unaudited)	(Unaudited)	(Unaudited)	(Unaudited)	(Unaudited)	(Unaudited)	
1	Total Income from Operations(net)	2866.03	2144.56	7197.86	2895.19	2148.24	7248.80	
2	Net profit/(Loss) from Oridinary activities after tax	207.29	141.73	956.51	225.49	130.91	959.01	
3	Net profit/(Loss) for the period after tax (after extraordinary items)	207.29	141.73	956.51	225.49	130.91	959.01	
4	Total Comprehensive Income for the period (comprising Profit/(Loss) for the period (after tax) and other comprehensive income (after tax)	207.29	141.73	956.51	220.93	130.91	954.45	
5	Equity Share Capital	498.30	498.30	498.30	477.80	477.80	477.80	
6	Reserves(excluding revaluation Reserve as shown in the Balance Sheet of previous year)	-	-	-	-	-	-	
7	Earning per Share(before extraordinary items) (of Rs.10/-each) (not annualised) Basic & Diluted	4.16	2.84	19.20	4.71	2.74	20.07	
8	Earnings Per Share(after extraordinary items) (of Rs.10/- each) (not annualised) Basic & Diluted	4.16	2.84	19.20	4.71	2.74	20.07	

Statement of Standalone and Consolidated Financial results for the Quarter/ Nine Months ended on 31st December 2020

Notes:

1 The above is an extract of the detailed format of Quarterly/ Nine Months ended Unaudited Financial Results filed with the Stock Exchanges under Regulation 33 of the SEBI(Listing Obligations and Disclosure Requirements)Regulations, 2015. The Full Format of the quarterly/ Nine Months ended Unaudited Financial results is available on the Stock Exchange's websites at www.bseindia.com and on the Company's website at www.lohiasecurities.com

2 The aforesaid results were placed before and reviewed by the Audit Committee at its meeting held on 9th February' 2021 and approved by the Board of Directors at its meeting held on the same date.

Place: Kolkata Date : 9th February 2021 Judhur Kuman Voi

For and on behalf of the Board tor Sudheer Kumar Jain Whole-time Director DIN No. 00075103