



# SHARDUL SECURITIES LIMITED

CIN : L50100MH1985PLC036937

G 12, Tulsiani Chambers, Nariman Point, Mumbai - 400 021

Tel. : 91 22 46032806 / 22-46032807

Email id : investors@shriyam.com Website : www.shardulsecurities.com

**Date: 10<sup>th</sup> April, 2024**

**To,  
The Manager  
Listing Department  
Bombay Stock Exchange Limited,  
Phiroze Jee Jee Bhoy Towers,  
Dalal Street, Mumbai - 400001.**

**Scrip Code: 512393**

**Subject: Compliance Certificate under regulation 7(3) SEBI (LODR) Regulation, 2015.**

Dear Sir/Madam,

As required under Clause 7(3) of the Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (LODR), we are enclosing herewith copy of the certificate jointly issued by Company and Company's Registrar and Share Transfer Agent **Link Intime India Private Limited** for the financial year ended **31<sup>st</sup> March 2024**.

This is for your information and record.

Yours Faithfully,

**FOR SHARDUL SECURITIES LIMITED**

**DAYA BHALIA  
DIRECTOR AND COMPANY SECRETARY  
Encl : as above**



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BSE Limited  
Corporate Relationship Department  
1st Floor, New Trading Ring,  
Rotunda Building, P. J. Towers,  
Dalal Street, Fort,  
Mumbai - 400 001.

Dear Sirs,

Subject: Compliance Certificate - Regulation 7 (3) of Securities and Exchange Board of India  
(Listing Obligations and Disclosure Requirements) Regulations, 2015.

As per the requirement of Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that all activities in relation to both physical and electronic share transfer facility are maintained by the Company's Registrar and Share Transfer Agent, viz., Link Intime India Pvt Ltd a Category-I, Registrar & Share Transfer Agent (RTA), registered with the Securities and Exchange Board of India (SEBI) vide Registration Number: INR000004058 during the period April 1, 2023 to March 31, 2024 (both days inclusive).

Yours faithfully,

Shardul Securities Limited

**Daya Bhalia**  
Director & Company Secretary

Yours faithfully,

For Link Intime India Pvt. Ltd

**Ashok Shetty**  
Vice President - Corporate Registry