

DALAL STREET INVESTMENTS LIMITED

Regd Office: 301, Chintamani Apartment, 1478, Sadashiv Peth, Pune-411030.
Mumbai Office: 6C, Sindhu House, Nanabhai Lane, Flora Fountain, Fort, Mumbai-400001.

[Tel:22024555](tel:22024555), [Email id:info@dalalstreetinvestments.com](mailto:info@dalalstreetinvestments.com),

CIN No:-L65990PN1977PLC141282, www.dalalstreetinvestments.com

DSIL/OUTWARD/2020-21/92

October 15, 2020

“By Mail”

Corporate Relationship Department

BSE Limited

Phiroze Jeejeebhoy Towers,

Dalal Street,

Mumbai- 400001

Fax No. 022-22723121/3027/2039/2061

Security Code: 501148, Security ID : DSINVEST

Dear Sir/Ma'am,

Re: ISIN - INE422D01012

Sub: Compliance of Regulation 40(10) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

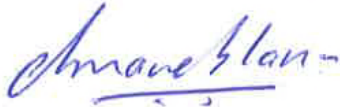
Pursuant to Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015 (“SEBI (LODR) Regulations, 2015”), please find enclosed herewith a Certificate for the half year ended September 30, 2020 for compliance of Regulation 40(9) SEBI (LODR) Regulations, 2015, duly certified by a Practicing Company Secretary.

You are requested to kindly take the same on your record.

Thanking You.

Yours faithfully,

for **DALAL STREET INVESTMENTS LIMITED**



MR. MURZASH MANEKSHANA

DIRECTOR

DIN : 00207311

Encl.: As above

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2020

“Based on the letter issued by the UNIVERSAL CAPITAL SECURITIES PRIVATE LIMITED on October 13, 2020 and based on the information provided by the Company (“DALAL STREET INVESTMENTS LIMITED”) pertaining to transfer of Equity Shares of the Company for the period from 1st April, 2020 to 30th September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements), 2015, I hereby certify that during the said half year ended on 30th September, 2020:

- a. No Physical Share Certificate(s) relating to the transfer of Shares were received & processed.
- b. No request for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies was received.
- c. Any other information, if applicable : NIL.”

**SHRIDHAR VIJAY
PHADKE**

Digitally signed by SHRIDHAR VIJAY PHADKE
DN: c=IN, o=Personal,
2.5.4.20=7562cf94efdb19040766174f1b4f9219cc9da6b5743ac3d6b87
2704539657b, postalCode=411004, st=MAHARASHTRA,
serialNumber=Sc0bfdb18bab217b9db6d855e319bd4517c047100524
ef38d2a266039e1f6b1, cn=SHRIDHAR VIJAY PHADKE
Date: 2020.10.15 17:35:05 +05'30'

**SVP & ASSOCIATES,
Shridhar Phadke, PCS**

C.P. No. 18622

F.C.S. 7867

UDIN : F007867B000952712

Date: 15/10/2020

Place: Pune