



Ref. No.: AUSFB/SEC/2020-21/299

Date: 26th November, 2020

To,

National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. BSE Scrip Code: 540611
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Dear Sir(s),

Sub: Intimation of allotment of Equity Shares pursuant to exercise of Employees Stock Options under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We hereby inform that the Bank has allotted **1,71,803** (One lakh seventy one thousand eight hundred three) Equity shares of face value of Rs. 10/- each) on 26th November, 2020 pursuant to exercise of equivalent number of Employee Stock Options under the following ESOP Schemes as per the applicable regulations:

Name of Scheme	No. of Equity Shares
ESOP Scheme 2015 Plan A	1,31,100
ESOP Scheme 2015 Plan B	15,582
ESOP Scheme 2016	8,424
ESOP Scheme 2018	16,697
Total	1,71,803

The paid-up equity share capital of the Bank has increased from Rs. 306,53,97,410 consisting of 30,65,39,741 equity shares having a face value of Rs. 10/- each to Rs. 306,71,15,440 consisting of 30,67,11,544 equity shares having a face value of Rs. 10/- each.

Kindly take above on your record.

Thanking You,
Yours faithfully,

FOR AU SMALL FINANCE BANK LIMITED


Manmohan Parnami
Company Secretary & Compliance Officer

Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, Fax: +91 141 4110090
CIN: L36911RJ1996PLC011381
Formerly known as Au Financiers (India) Limited

info@aubank.in | www.aubank.in

SEARCHABLE FORMAT

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