

Ref. No.: AUSFB/SEC/2020-21/441

Date: 31<sup>st</sup> March 2021

To,

<b>The BSE Limited</b> Phiroze Jeejeebhoy Towers Dalal Street, Mumbai- 400001 Maharashtra <b>Scrip Code: 540611</b>	<b>National Stock Exchange of India Limited</b> Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai – 400051 Maharashtra <b>Symbol: AUBANK</b>
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Dear Sir/Madam,

**Subject: Intimation for Closure of Trading Window for dealing in securities of the AU Small Finance Bank Limited ('the Bank') in terms of the SEBI (Prohibition of Insider Trading) Regulations, 2015 ('PIT Regulations').**

Pursuant to the PIT Regulations and the Bank's Code of Conduct - Prohibition of Insider Trading Policy, we hereby inform that the Trading window for dealing in securities of the Bank shall be closed for the Designated Persons from Thursday, 1<sup>st</sup> April 2021 till 48 hours after the declaration of financial results of the Bank for the Quarter 4 & Financial Year ending on 31<sup>st</sup> March, 2021.

The date of the Board Meeting of the Bank for the aforesaid purpose will be intimated to the Exchange(s) in due course.

We request you to kindly take this on your record.

Thanking You,

Yours faithfully,

**For AU SMALL FINANCE BANK LIMITED**



**Manmohan Parnami**  
**Company Secretary & Compliance Officer**  
**Membership No.: F9999**

**Registered Office**

**AU SMALL FINANCE BANK LIMITED**  
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Jaipur - 302001, Rajasthan, India  
**Phone:** +91 141 4110060/61, **Fax:** +91 141 4110090  
**CIN:** L36911RJ1996PLC011381  
Formerly known as **Au Financiers (India) Limited**