

**Ref. No.:** UTI/AMC/CS/SE/2024-25/0413

**Date:** 9<sup>th</sup> April, 2024

**National Stock Exchange of India Limited**

Exchange Plaza Plot No. C/1

G Block Bandra – Kurla Complex

Bandra (East) Mumbai – 400 051.

**Scrip Symbol:** UTIAMC

**BSE Limited**

Phiroze Jeejeebhoy Towers

Dalal Street

Mumbai – 400 001.

**Scrip Code / Symbol:** 543238 / UTIAMC

**Sub: Compliance Certificate pursuant to Regulation 7 (3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir / Madam,

Pursuant to Regulation 7 (3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Listing Regulations), we are forwarding herewith the Compliance Certificate duly signed by the Company Secretary and Compliance Officer of UTI Asset Management Company Limited and the Authorized Representative of our Registrar and Share Transfer Agent *i.e.* M/s. KFin Technologies Limited (*formerly known as 'KFin Technologies Private Limited'*) certifying compliance with the requirements of Regulation 7 (2) of Listing Regulations, for the financial year ended 31<sup>st</sup> March, 2024.

Thanking you,

For **UTI Asset Management Company Limited**

Arvind Patkar

**Company Secretary and Compliance Officer**

**Encl.:** As above

UAM/SD/SE/2023\_24/YLY

Apr 08, 2024

**The Manager-Listing Department**  
**National Stock Exchange of India Limited**  
Exchange Plaza,  
Bandra Kurla Complex, Bandra (East)  
Mumbai – 400 051.  
**Tel No.:** 022 – 2659 8237/ 38  
**NSE - Symbol – UTIAMC**

**The Manager-Listing Department**  
**BSE Limited**  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai – 400 001.  
**Tel No.:** 022 – 2272 2039/ 37/3121  
**BSE- Scrip Code: 543238**

Dear Sir,

**Sub : Compliance Certificate for the Year Ended – Mar 31, 2024**  
**Ref : Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

This is to certify that UTI Asset Management Company Limited ('Company') has appointed M/s KFin Technologies Limited ('Registrar'), having its registered office at Selenium Tower- B, Plot No.31 & 32, Gachibowli, Financial District , Nanakramguda, Serilingampally, Hyderabad-500 032 Telangana, as its Registrar and Share Transfer Agent, and the activities in relation to both Physical and Electronic Share Transfer facility of the Company are maintained by the Registrar. M/s KFin Technologies Limited which is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number: INR000000221.

Accordingly, the compliance in respect of Regulation 7(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 is ensured.

Thanking you,

Yours faithfully,

**For UTI Asset Management Company Limited**

**For KFin Technologies Limited**

**Arvind Patkar**  
**Company Secretary**



**Rajitha Cholleti**  
**Dy. Vice President**