TAMBOLI CAPITAI

REGD. OFFICE: MAHAVIR PALACE,

8-A, KALUBHA ROAD, BHAVNAGAR 364002

GUJARAT, INDIA

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FAX

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WEBSITE

: www.tambolicapital.in

: L65993GJ2008PLC053613

E-filing through BSE Listing Centre

AC/1225/IX

October 15, 2020

The GENERAL MANAGER CORPORATE RELATIONSHIP DEPARTMENT Bombay Stock Exchange Limited 1st Floor, New Trading Ring, Rotunda Building, P.J. Towers, Dalal Street, Fort Mumbai 400 001

Dear Sir.

Sub:

Submission of certificate obtained from practising company secretary for compliance of regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 for the half year ended September 30, 2020.

Ref:

Scrip Code No. 533170.

With reference to the above, please find enclosed certificate obtained from practising company secretaries Ashish Shah & Associates dated 14.10.2020 for compliance of regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 for the half year ended September 30, 2020.

Please take the above document on record.

Thanking you Yours faithfully For TAMBOLI CAPITAL LTD

(Vipul H. Pathak)

CHIEF FINANCIAL OFFICER

Encl: As above

Ashish Shah & Associates

COMPANY SECRETARY

4th Floor, Shaival Plaza, Near Gujarat College, Ellisbridge, AHMEDABAD-380006

Tel.: 079-26420336/7/9 Fax: 079-26424100 Mobile: 9825940391 E-mail: ashish@ravics.com

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON 30TH SEPTEMBER, 2020

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to TAMBOLI CAPITAL LIMITED, maintained by the MCS Share Transfer Agent Limited pertaining to transfer of Equity Shares of the Company for the period from 1st April, 2020 to 30th September, 2020 for the purpose of issuing certificate as per regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, we hereby certify that during the period from 1st April, 2020 to 30th September, 2020, the Company has delivered Share Certificate relating transfer of shares, if any and for issue of duplicate share certificate(s), subdivision, consolidation, renewal etc., as the case may be within thirty days from respective date of lodgement of each deed excepting those rejected on technical grounds.

ASHISH ANANTRAY SHAH Diginally signed by ASHGM ANASTEAY SHAP Dix circl on-Principal population—180056. In-Gujarit. 25.4.200-11.2074.edolesi2.208-8868013-8648-23506-432-0506352998-05407-1971-1072-991-1083-2082-1779438-73-1ACL. SERSIMAMPORT—20754441507-05509004784015-588503AC 7637-9017-647178-5442888860192-014-859659-AAARSTEAY-1084-5

Place: Ahmedabad

Date: 14/10/2020

Signature:

Name of Practicing Company Secretary: ASHISH SHAH

C.P. No. 4178

MEM. NO. 5974

Shah & As

COP No.

any Secreta

UDIN: F005974B000952634