

# **DB** (International) Stock Brokers Ltd.

- NSE & BSE : CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- MCX ICEX: COMMODITIES

DEPOSITORY PARTICIPANT: CDSL IPO, MUTUAL FUND & BONDS

SEBI REGISTRATION NO. : INZ000179035 (BROKING), IN-DP-CDSL-266-2004 (DEPOSITORY) Office: 114, New Delhi House, 27 Barakhamba Road, New Delhi-110001 Tel.:011-43606162 Website: <u>www.dbonline.in;</u> E-Mail: <u>compliance@dbonline.in</u> CIN: L67120GJ1992PLC121278

Dated: 10th April, 2024

To,

Listing Department National Stock Exchange of India Ltd. Exchange Plaza Plot no. C/1, G Block Bandra Kurla Complex Bandra (E) Mumbai 400 051 **NSE Symbol: DBSTOCKBRO** 

Department of Corporate Services BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street Mumbai-400001 Scrip Code: 530393

#### Subject: Annual Disclosure to be made by an entity identified as a Large Corporate.

## Ref: SEBI Circular SEBI/HO/DDHS/CIR/P2018/144 dated 26th November 2018 ("SEBI Circular")

Dear Sir/Madam,

Pursuant to the aforesaid SEBI Circular and in respect of fund raising by issuance of Debt Securities by Large Corporate (LC) and disclosures and compliances thereof by such Large Corporate (LC), we hereby submit that "**DB** (**International**) **Stock Brokers Limited**" does not fall under the category of "Large Corporate" as specified in the aforesaid SEBI Circular.

In this connection, we enclosed herewith Initial Disclosure to be made by an Entity identified as **"Not a Large Corporate"** as per *Annexure A*, pursuant to the framework provided in the aforesaid Circular.

You are requested to take the above information on records.

Thanking you

Yours faithfully,

#### For DB (International) Stock Brokers Limited

Prachi Sharma Company Secretary Ph: 0120-4823327 Email ID: compliance@dbonline.in Sanjeev Kumar Rawal Chief Financial Officer Ph: 0120-4823302 Email ID: <u>skrawal@dbonline.in</u>

Encl: As Above



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#### Annexure A

## INITIAL DISCLOSURE TO BE MADE BY AN ENTITY IDENTIFIED NOT AS A LARGE **CORPORATE**

Sr. No.	Particulars	Details
1.	Name of the Company	DB (International) Stock Brokers Limited
2.	CIN	L67120GJ1992PLC121278
3.	Outstanding borrowing of company as	NIL
	on 31st March, 2024 (in Rs cr.)	
4.	Highest Credit Rating During the	Not Applicable
	previous FY along with name of the	
	Credit Rating Agency	
5.	Name of Stock Exchange* in which	Not Applicable
	the fine shall be paid, in case of	
	shortfall in the required borrowing	
	under the framework	

We confirm that we are "Not a Large Corporate" as per the applicability criteria given under the SEBI circular SEBI/HO/DDHS/CIR/P/2018/144 dated November 26, 2018.

**Prachi Sharma Company Secretary** Ph: 0120-4823327 Email ID: compliance@dbonline.in

Sanjeev Kumar Rawal **Chief Financial Officer** Ph: 0120-4823302 Email ID: skrawal@dbonline.in

Date: 10.04.2024

\*Note: In terms paragraph of 2.2(d) of the circular, beginning F. Y 2022, in the event of shortfall in the mandatory borrowing through debt securities, a fine of 0.2% of the shortfall shall be levied by Stock Exchanges at the end of the two-year block period. Therefore, an entity identified as LC shall provide, in its initial disclosure for a financial year, the name of Stock Exchange to which it would pay the fine in case of shortfall in the mandatory borrowing through debt markets.