

CIN: L67120TZ1994PLC005030

Shop No. 2, First Floor, Building No. 45-47, Pavalam Street, Big Bazzar, Coimbatore – 641001. Email – info@djsstocks.com djss2011@gmail.com Website – www.djsstocks.com

Date: 21st October, 2020

To, BSE Limited Department of Corporate Service, Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai - 400 001.

Ref.: Script Code: 511636

Sub: Submission of Certificate under Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half

year ended 30th September, 2020.

Dear Sir / Madam,

We are enclosing herewith Compliance Certificate under Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30th September, 2020.

Kindly take the same on your record.

Thanking you.

Yours faithfully,

For DJS Stock and Shares Limited

Harish Sharma DIN: 07332874

Director

Encl: as above

Corporate. Off.: Office No. 1/2, Casa Blanca, Old Raviraj Complex, Jesal Park,

Bhayander (E), Thane - 401 105.

Tel. No. : 022 28172324



CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGEBOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF-YEAR ENDED'30™ SEPTEMBER, 2020

- (a) I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s DJS STOCK AND SHARES LIMITED CIN-L67120TZ1994PLC005030 maintained by M/s Purva Sharegistry (India) Private Limited. (Registrar & Transfer Agent of the said company), Pertaining to transfer of equity shares of the company for the period from 01" April, 2020 to 30th September, 2020 for the purpose of issuing a Compliance Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, with the Stock Exchanges. Based on our examination, as well as information and explanation furnished to us by the Company, we hereby certify that, during the half year ended on 30th September, 2020:
- A. The Company has received 1 (One) request for registration of transfer during the period as per the records and documents provide for the audit purpose the said request for transfer of shares was approved after 15 days as there was objection raised by RTA due to non-completion of documents for transfer, the company has not been received any request for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of the Equity shares in the Company, during the period beginning from 01st April, 2020 to 30th September, 2020.
- B. No Share Certificates have been issued in respect of duplicates and split certificates as there is no such request received by the Company.

For Nitesh Chaudhary & Associates

Practicing Company Secretary

CS Nitesh Chaudhary, Proprietor Mem. No. FCS - 10010

CP NO. 16275

UDIN: F010010B001012264

Date: 20/10/2020 Place: Mumbai