

Date: October 08, 2020

To, The Dept. of Corporate Services BSE Limited. Floor 25, P. J. Tower, Dalal Street, MUMBAI – 400 001

**Sub:** Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation 2015, for the Half year ended on 30<sup>th</sup>, September, 2020.

## Dear Sir/Madam,

With reference to the above, we are enclosing herewith Half Yearly Compliance Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 for the half year ended on September 30, 2020 received from Virendra G. Bhatt Practicing Company Secretary.

Please take the same on your record and acknowledge.

Thanking You,

Yours Faithfully,

For Arihant Capital Markets Limited

Mahesh Pancholi

(Company Secretary)

M. No. F-7143



Company Secretary

Office:

Office No. 03, A Wing, 9th Floor, Pinnacle Corporate Park, BKC CST Link Rd., MMRDA Area, Bandra Kurla Complex, Bandra East, Mumbai - 400 051.

: 022 - 2652 9367 / 68 Mobile: +91 - 98200 48670

E-mail: bhattvirendra1945@yahoo.co.in

Name of the Company

: ARIHANT CAPITAL MARKETS LIMITED

CIN

: L67120MP1992PLC007182

Registered Office

: 6, Lad Colony, Y. N. Road, Indore, Madhya Pradesh- 452001

## CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2020

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to ARIHANT CAPITAL MARKETS LIMITED maintained by Ankit Consultancy Private Limited pertaining to transfer of equity shares of the Company for the period from 01st April, 2020 to 30th September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, by ARIHANT CAPITAL MARKETS LIMITED and based on the information provided, hereby certify that during half year ended 30th September, 2020.

A. No requests were received by the Registrar and Share Transfer Agents of the Company for transfer/transmission, sub-division or consolidation of shares for the period from 01st April, 2020 to 30th September, 2020.

B. Physical Share Certificates in respect of request for exchange of duplicate certificates have been issued within thirty days of lodgement.

Date: 08th October, 2020

Place: Mumbai

Virendra G. Bhatt **Practicing Company Secretary** 

ACS No.: 1157 / COP No.: 124

UDIN: A001157B000882611

## Note:

Due to COVID - 19 Lockdown, we are not able to verify the information physically, therefore we rely on the information as provided by the Registrar and Share Transfer Agent in electronic mode.