

DB (International) Stock Brokers Ltd.

- NSE & BSE: CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- MCX ICEX: COMMODITIES
- DEPOSITORY PARTICIPANT: CDSL IPO, MUTUAL FUND & BONDS

SEBI REGISTRATION NO.: INZ000179035 (BROKING), IN-DP-CDSL-266-2004 (DEPOSITORY)

Office: 114, New Delhi House, 27 Barakhamba Road, New Delhi-110001 Tel.:011-43606162 Website: www.dbonline.in E-Mail: compliance@dbonline.in

CIN: L67120GJ1992PLC121278

Date: 01st April, 2024

To,
Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1,
G Block Bandra Kurla Complex, Bandra (E)
Mumbai - 400051
To,
Department of BSE Limited,
Phiroze
Towers, Dalal

To,
Department of Corporate Services
RSE Limited

Phiroze Jeejeebhoy Towers, Dalal Street Mumbai- 400001

NSE Symbol: DBSTOCKBRO BSE Scrip Code: 530393

Dear Sir/Ma'am,

<u>Subject: Disclosure under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015</u>

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), read with Para A of Part A to Schedule III of the SEBI Listing Regulations, please be informed that National Stock Exchange of India Limited ("the Exchange") has imposed a monetary penalty of ₹ 39,900/- (Rupees Thirty Nine Thousand Nine Hundred) (plus GST) on our Company vide letter no. NSE/INSP-ENF/CMFOCDS/OFFSITE/23-24/ACT/06432/2024-35048 dated April 01, 2024 for observed violation in terms of the Exchange Circular No. NSE/INSP/53530 dated September 02, 2022.

Details of the Order have been provided in "Annexure I" enclosed herewith in compliance with Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Thanking You,

For and on behalf of DB (International) Stock Brokers Limited

Prachi Sharma Company Secretary Membership No.: A71389

Encl: as above



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Annexure-I

Sr.	Particulars	Remarks
No.		
1.	Name of the Authority	National Stock Exchange of India Limited ("Exchange")
2.	Nature and details of the action(s) taken, initiated or order(s) passed	Monetary penalty of ₹ 39,900/- (Rupees Thirty Nine Thousand Nine Hundred) (plus GST)
3.	Date of receipt of the order	April 01, 2024
4.	Details of the violation(s) / contravention(s) committed or alleged to be committed;	During the offsite inspection, National Stock Exchange has observed violation in terms of the Exchange Circular No. NSE/INSP/53530 dated September 02, 2022.
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Monetary penalty of ₹ 39,900/- (Rupees Thirty Nine Thousand Nine Hundred) (plus GST) imposed on the Company. There is no other impact on the financial, operations or other activities of the Company.