



NAM SECURITIES LTD.

MEMBER : NSE (CM & FO & SX Segments)
F & O Clearing, BSE, MCX, DSE DP : NSDL

Corp. Office : 213 Arunachal, 19, Barakhamba Road,
New Delhi- 110001, Phones: 23731122, 23731144
FAX : 23730810 E-mail : nammf@yahoo.com

Date- 13.04.2021

To
The Manager
Listing Department
BSE Limited
Phiroze Jeejeebhoy Tower
Dalal Street, fort
Mumbai-400001

Scrip Code: 538395

Sub: Compliance Certificate under Regulation 40(9) of SEBI (LODR) Regulation, 2015

Dear Sir,

In compliance with the requirements of Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015. We are pleased to enclose the compliance certificate issued by Company Secretary in Practice for the half year ended on 31st March, 2021.

This is for your information and records

Thanking you

Your faithfully

For: NAM SECURITIES LIMITED

Nam Securities Ltd.


Compliance Officer
(Divya Bisht)

Company Secretary & Compliance Officer

Encl:a/a



K VIVEK & CO.

COMPANY SECRETARIES

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 31st MARCH, 2021

We have examined all Share Transfer Registers, files and other documents relating to Nam Securities Limited (L74899DL1994PLC350531) maintained by Beetal Financial And Computer Services Private Limited and Company pertaining to transfer of equity shares of the Company for the period from 1st October, 2020 to 31st March, 2021 for the purpose of issuing a certificate as per regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for Nam Securities Limited, to be filed with BSE and based on the information provided by the Company and RTA, we hereby certify that during the half year ended 31st March, 2021:

A. Share Certificate(s) relating to the Share Transfer form(s) received during the Memorandum period from 1st October, 2020 to 31st March, 2021, if any as entered in the of Transfers have been issued within one month from the technical respective date of lodgments of each form excepting those rejected on grounds.

B. Share Certificates in respect of requests for sub-division, consolidation, renewal, exchange or endorsement for payment of call and allotment money if any, have been issued within one month of lodgment.

C. Share Certificates in respect of requests for exchange of duplicate and split certificates if any, have been issued within one month of lodgment.

FOR K VIVEK & CO.

(Company Secretaries)

V. Kumar



Vivek Kumar

(Proprietor)

C.P. No. 21931

M. No. 58007

Date: 12.04.2021

Place: New Delhi

UDIN: A058007C000070294

**Office Address:- 301 & 301A, Petal House, Commercial Complex, Ranjeet Nagar,
Near Shadipur Metro Station, New Delhi-110008**

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