

SWARNSARITA GEMS LIMITED

CIN: L36911MH1992PLC068283

Reg. Add.: 10, Floor-1St, Plot-40/42, Ruby Chambers, Dhanji Street, Zaveri Bazar, Mumbadevi, Mandvi,
Mumbai-400003

Tel. no.: 022-40047000 Fax. No.: 022-43590010

Email: info@swarnsarita.com Website: www.swarnsarita.com

October 15, 2020

To,
Asst. General Manager
Department of Corporate Services
Bombay Stock Exchange Limited,
14th Floor, P.J. Towers, Dalal Street,
Fort, Mumbai: 400 001, Maharashtra

Scrip Code: 526365 / Scrip ID: SWARNSAR

Sub: Compliance Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended 30th September, 2020

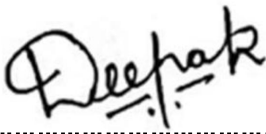
Respected Sir/Madam,

Pursuant to Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018, we hereby enclosed herewith Certificate in respect of Compliance of Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018, duly signed by LINK INTIME INDIA PVT. LTD.[Sharex Dynamic (India) Private Limited merged with Link Intime India Pvt. Ltd.] as Share Transfer Agent for the Quarter ended 30th September, 2020 for your information and record.

Thanking You,

Yours faithfully

FOR SWARNSARITA GEMS LIMITED



DEEPAK SUTHAR

Company Secretary and Compliance Officer

ICSI Membership Number: A57002

Encl.: As Above

Date : 07-10-2020

To,
The Compliance Officer/ Company Secretary
SWARNSARITA GEMS LTD
17/19, GROUND FLOOR,
DHANJI STREET,
MUMBAI
PINCODE : 400003

Sub : Certificate under Regulation 74(5) of the SEBI (Depositories and Participants)
Regulations, 2018 for the quarter ended 30-09-2020.

Ref : Security Code - 526365 ISIN : INE967A01012

Dear Sir/Madam,

In reference to the above captioned regulation, we hereby certify that the securities received from the depository participants for dematerialisation, if any, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been mutilated and cancelled after due verification and the name of the depository has been substituted in our records as the registered owner within 15 days of receipt of certificate of security and the details are furnished to the stock exchange.

We request you to kindly take this information on record, subject to the note given below.

Note :SEBI vide their Circular Nos. SEBI/HO/MIRSD/DOP/CIR/P/2020/62 dated April 16, 2020; SEBI/HO/MIRSD/DOP/CIR/P/2020/112 dated June 30, 2020 and SEBI/HO/MIRSD/DOP/CIR/P/2020/142 dated July 29, 2020 had extended the time line/period of exclusion (March 23, 2020 to September 30, 2020) for processing the demat requests by the Issuer Company/RTA. We hereby also confirm that the security certificates received for dematerialisation have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the time lines mentioned in the aforesaid circulars.

Thanking you,

Yours faithfully,

For LINK INTIME INDIA PVT.LTD.

Signature valid

Digitally signed by B N Ramkrishnan
Date: 2020.10.07 17:05:56 +05:30
Location: Mumbai

(AUTHORISED SIGNATORY)

Note : You are requested to inform Depositorie(s) and Stock Exchange(s) wherever your security are listed accordingly.