



# Syncom Formulations (India) Limited

A WHO-GMP & ISO 9001-2015 Certified Company  
CIN No. : L24239MH1988PLC047759

SYNCOM/SE/2021-22

20<sup>th</sup> April, 2021

Online Filing at: [www.listing.bseindia.com](http://www.listing.bseindia.com)

To,  
The General Manager  
DCS-CRD  
BSE Ltd.  
P.J. Tower, Dalal Street, Fort,  
MUMBAI - 400001

**BSE CODE: 524470**

**Sub: Filing of Certificate for Compliance under Regulation 40(9) of the SEBI (LODR) Regulations, 2015 for the half year ended 31<sup>st</sup> March, 2021.**

Dear Sir/Ma'am,


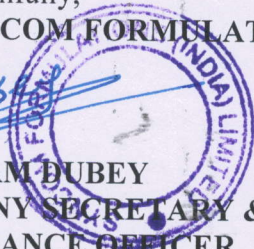
Please find enclosed herewith the Certificate for compliance under Regulation 40(9) of the SEBI (LODR) Regulations, 2015 obtained from Practicing Company Secretary for the half year ended 31<sup>st</sup> March, 2021.

You are requested to please take on record the above said document for your reference & further needful.

Thanking you.

Yours faithfully,

For, SYNCOM FORMULATIONS (INDIA) LIMITED

  
  
SHUBHAM DUBEY  
COMPANY SECRETARY &  
COMPLIANCE OFFICER

Encl.: a/a

*Bringing a smile on every face...*



**JAIN GUPTA & CO.**

**Company Secretaries**

**Dr. Dilip Kumar Jain**

M. Com., FCS., ACIS (U.K.), Ph.D., RV (SFA)

**Dr. Pratima Jain**

FCS. Ph.D.

## **CERTIFICATE**

*(Under Regulation 40(9) of the SEBI (LODR) Regulations, 2015)*

### **FOR THE HALF YEAR ENDED 31<sup>st</sup> MARCH, 2021**

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **SYNCOM FORMULATIONS (INDIA) LIMITED**, having its Registered office at 7, Niraj Industrial Estate, Off Mahakali Caves Road, Andheri (East), Mumbai (MH) 400093, maintained by Ankit Consultancy Pvt. Ltd., Plot No.60, Electronic Complex Padeshipura, Indore (M.P.) pertaining to transfer of Equity Shares of the company for the period from **1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021**, for the purpose of issuing Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 respectively as applicable and based on the information provided by the Company, we hereby certify that the company has delivered during half year ended on **31<sup>st</sup> March, 2021**.

- A) No request was received relating to the Transfer, Transmission, Sub-division Consolidation, Endorsement, etc of the shares during the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021, hence, the company was not required to issue any certificate upon transmission, transfer, sub division, consolidation, renewal, exchange or endorsement of calls.
- B) The company has made allotment of 15,93,47,820 Warrants of Rs. 2.53 Convertible into equity share of Rs. 1/- each at a premium of Rs. 1.53 per share for every warrant on preferential basis to Promoter and Promoter Group of the company on 25<sup>th</sup> January, 2021 and the said warrants are credited in the D-mate Account of the respective allottees within the stipulated time.

**Place: Indore**

**Date: 16<sup>th</sup> April, 2021**



**For, JAIN GUPTA & CO.  
COMPANY SECRETARIES  
FRN P2003MP00460**

**CS (DR.) PRATIMA JAIN  
PARTNER  
FCS NO.: 5946; CP NO. : 6202  
Peer Review No.: 664/2020  
UDIN: F005946C000110270**