

Five X Tradecom Limited

(Formerly Five X Finance & Investment limited)

CIN: L74110MH2010PLC201249

Regd. Off: B1/C, Utkarsh Co-op Housing Society, M.A. Road, Andheri West, Mumbai- 400058

Tel: 022-26204220/ Email: fivexfinance@gmail.com / website: www.fivexfinance.in

Date: 15/10/2020

To,
BSE Limited
Corporate Relationship Dept,
1st Floor, New Trading Ring,
Rotunda Building, Phiroze Jeejeebhoy Towers,
Dalal Street, Fort, Mumbai - 400001.

BSE Scrip Code: 536751

Sub: Submission of Compliance Certificate of Regulation 40(9) of the SEBI (LODR), 2015 for the half year ended 30/09/2020

Dear Sir/Madam,

With reference to above, we are enclosing herewith the Compliance Certificate of Regulation 40(9) of the SEBI (LODR), 2015 for the half year ended September 30, 2020.

We request you to kindly take the above compliance in your record and acknowledge its receipt.

Thanking you,

Yours Faithfully,

For **Five X Tradecom Limited**

N.M. Parekh



Nikita Parekh
Director
DIN: 06800141

Aditya Shah & Associates
PRACTICING COMPANY SECRETARIES
AUTHORISED AGENTS FOR TRADEMARKS

CS ADITYA SHAH

A.C.S., B Com., D.L.L. & L.W.

M. No – 58883; COP – 22912; Trademarks Agent Code No: 33226.

Office No. F-137, Lower Level, 1st Floor, Raghuleela Mega Mall, Poisar Gymkhana Road, Near Poisar Bus Depot, Kandivali (W), Mumbai - 400 067.

Mob : 8655757004 | Email : aditya@csadityashah.co.in

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS & DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED SEPTEMBER 30, 2020.

I, have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **FIVE X TRADECOM LIMITED (“the Company”)**, having registered office at B/1C, Utkarsh Co-op Housing Society, M.A. Road, Andheri West, Mumbai - 400058, and maintained by Registrar and Share Transfer Agents (“RTA”) **Purva Sharegistry (India) Private Limited** having its office at 9, Shiv Shakti Industrial Estate, J R Boricha Marg, Opp. Lodha Excelus, Lower Parel East, Mumbai – 400011, pertaining to transfer of equity shares of the Company for the period from April 1, 2020 to September 30, 2020. For the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 based on the information provided by the Company / RTA, I hereby certify that:

- a) During the period from April 1; 2020 to September 30, 2020, no transfer deeds were lodged with Company / RTA, therefore the Company was not required to deliver the share certificates within thirty days from the date of lodgement.
- b) During the period from April 1, 2020 to September 30, 2020, the Company / RTA did not receive request relating to sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies, therefore the Company was not required to deliver the share certificates within 30 days from the date of lodgement.
- c) In respect of request for issue of duplicate Share Certificates, the Certificates have been issued within 30 days of completion of all the formalities.
- d) Any other information, if applicable: **Not Applicable**

For Aditya Shah & Associates,
Practicing Company Secretaries



Aditya Shah
(Proprietor)

M. No.: 58883

COP. No.: 22912

Place: Mumbai

Date: 15/10/2020

UDIN: A058883B000956373.

