



# SVC INDUSTRIES LIMITED

Regd. Office : 301, Shubham Centre - 1, Near Holy Family Church, 491, Cardinal Gracious Road, Andheri (East), Mumbai -400 099.  
Tel. : 2832 4296 Direct 2832 3974, Email : svcindustriesltd@gmail.com • Website : www.svcindustriesltd.com  
CIN : L15100MH1989PLC053232

To,

Date : 29.05.2024

BSE Limited  
Phiroze Jeejeebhoy Towers,  
25th Floor Dalal Street,  
Fort, Mumbai-400001  
Scrip code: 524488

Dear Sir/Madam,

**Sub: Annual Secretarial Compliance Report for the year ended March 31, 2024.**

Pursuant to Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Annual Secretarial Compliance Report for the year ended March 31, 2024.

This is for your kind information and record.

Thanking You.

Yours faithfully,

For SVC Industries Limited

  
Jyoti Darade



Company Secretary & Compliance Officer



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B-26 Venus CHS Ltd., Maitry Park, 100 Ft Road, Vasai West, Dist.Thane-401202  
Mobile: +91 97643 22951, Email: csranjeetsharma@gmail.com  
UCN:- S2016MH359900, PRC:- 2758/2022

### SECRETARIAL COMPLIANCE REPORT

FOR THE FINANCIAL YEAR ENDED 31ST MARCH 2024

[Pursuant to SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019]

Secretarial Compliance Report of SVC Industries Limited for the year ended 31/03/2024, Ranjeet Sharma have examined:

- a) all the documents and records made available to us and explanation provided by SVC Industries Limited ("the listed entity"),
- b) the filings/ submissions made by the listed entity to the stock exchanges
- c) website of the listed entity.
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification for the year ended 31<sup>st</sup> March, 2024 ("Review Period") in respect of compliance with the provisions of :
  - a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
  - b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, includes:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not Applicable as there was no reportable event during the year under review)**
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not Applicable as there was no reportable event during the year under review)**
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not Applicable as there was no reportable event during the year under review)**



**Ranjeetkumar Sharma & Associates**  
Company Secretaries

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- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not Applicable as there was no reportable event during the year under review)**
- g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not Applicable as there was no reportable event during the year under review)**
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

Based on the above examination, I hereby report that, during the Review Period:

Sr. No.	Particulars	Compliance (Yes/ No/ NA)	Status	Observations / Remarks by PCS
1	<b>Secretarial Standards:</b> The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI), as notified by the Central Government under section 118(10) of the Companies Act, 2013 and mandatorily applicable.	Yes		
2	<b>Adoption and timely updation of the Policies:</b> <ul style="list-style-type: none"><li>All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities</li><li>All the policies are in conformity with SEBI Regulations and have been reviewed &amp; updated on time, as per the regulations/circulars/guidelines issued by SEBI</li></ul>	Yes		
3	<b>Maintenance and disclosures on Website:</b> <ul style="list-style-type: none"><li>The Listed entity is maintaining a functional website</li><li>Timely dissemination of the documents / information under separate section on the website</li></ul>	Yes		



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	<ul style="list-style-type: none"><li>• Web-links provided in annual corporate governance</li><li>• reports under Regulation 27(2) are accurate and specific which redirects to the relevant document(s)/ section of the website</li></ul>		
4	<b>Disqualification of Director:</b> None of the Director(s) of the Company is/are disqualified under Section 164 of Companies Act, 2013 as confirmed by the listed entity.	Yes	
5	<b>Details related to Subsidiaries of listed entities have been examined w.r.t.:</b>  (a) Identification of material subsidiary companies (b) Disclosure requirement of material as well as other subsidiaries	N.A.	
6	<b>Preservation of Document s:</b> The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.	Yes	
7	<b>Performance Evaluation:</b> The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations.	Yes	
8	<b>Related Party Transactions:</b> a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or b) The listed entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved /ratified /rejected by the Audit Committee, in case no prior approval has been obtained.	N.A. N.A.	



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9	<b>Disclosure of events or information:</b> The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	Yes	
10	<b>Prohibition of Insider Trading:</b> The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.	Yes	
11	<b>Actions taken by SEBI or Stock Exchange(s), if any:</b> No action(s) has been taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder except as provided under separate paragraph herein (**).	Yes	
12	<b>Additional Non-compliances, if any:</b> No additional non-compliance observed for any SEBI regulation/circular/guidance note etc.	Yes	

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder except for the following:

Sr.	Compliance Requirement	Deviation	Observation
1.	There is non-compliance against which penalty was imposed by exchange and company has given clarification against the same. The Non-Compliance are as follows:  (i) Non-appointment of CS under Regulation 6(1) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015	There was a delay in compliance by 55 days	Duly complied on 26.05.2023



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	for the quarter ended 30.06.2023		
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- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

<b>Sr No.</b>	<b>Action taken by</b>	<b>Details of violations</b>	<b>Details of action taken E.g Fines, warning letters, debarment etc.</b>	<b>Observations/remarks of practicing Company secretary, if any</b>
NIL	NIL	NIL	NIL	NIL

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

<b>Sr No.</b>	<b>Observations/remarks of practicing Company secretary in the previous report</b>	<b>Observation made in the Secretarial Compliance Report FYE 31/03/2024</b>	<b>Action taken by the listed entity, if any</b>	<b>Comments of the Company Secretary on the actions taken by the listed entity</b>
1	Filing of Shareholding Pattern for the quarter ended 30 <sup>th</sup> June, 2022 within prescribed time	Yet to Comply	Company appointed Company Secretary and Compliance to take care of compliances	Due to technical error the report could not be submitted



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2	Submission of secretarial compliance report within prescribed time For the year ended March 2022	Filed with the delay of 79 days	Company appointed Company Secretary and Compliance to take care of compliances	The Company is in process of paying the amount of penalty
3	Reconciliation of Share Capital Audit Report for the quarter ended March 31,2022	Delay of 30 days in submission of Reconciliation of Share Capital Audit Report for the quarter ended March 31,2022	Company appointed Company Secretary and Compliance to take care of compliances	The Company has filed the same on 31.5.22
4	Reconciliation of Share Capital Audit Report for the quarter ended June 30, 2022	Delay of 30 days in submission of Reconciliation of Share Capital Audit Report	Company appointed Company Secretary and Compliance to take care of compliances	The Company has filed the same on 30.08.22
5	Reconciliation of Share Capital Audit Report for the quarter ended December 31, 2022	Delay of 30 days in submission of Reconciliation of Share Capital Audit Report	Company appointed Company Secretary and Compliance to take care of compliances	The Company has filed the same on 31.01.23
6	Corporate Governance Report Within 21 days from the end of the quarter	Delay of 2 day in filing of the same	Company appointed Company Secretary and Compliance to take care of compliances	Duly complied on 24.01.23
7	Prior intimation of meeting of Board of Directors in which financial results have been approved are to be Disclosed to Stock Exchanges	Delay in Board Meeting prior Intimation for the September Quarter held on 12th August, 2022.	Company appointed Company Secretary and Compliance to take care of compliances	Duly complied on 10.08.22 with the delay



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8	Requirements pertaining to appointment or continuation of non-executive director who has attained the age of seventy-five years	Non appointment of Mr. Subhash Chandra Rustogi as Independent Director by way of Special Resolution	Clarification provided	Representation made to BSE vide email dated 22.11.2022, as at the time of appointment, the approval was taken as special Resolution
9	Submission of shareholding pattern within the period prescribed	There was a delay of 4days in filing of Shareholding pattern for the quarter ended December 2022	Company appointed Company Secretary and Compliance to take care of compliances	Duly Complied on 25 <sup>th</sup> January, 2023
10	Investor Grievances Report within 21 days from each quarter	There was a delay in compliance by 4 days	Company appointed Company Secretary and Compliance to take care of compliances	Duly Complied on 25.01.23
11	Related party disclosure for the quarter ended March 31, 2022	There was delay of 18 days in submission	Company appointed Company Secretary and Compliance to take care of compliances	Duly Complied on 14.6.22
12	Requirement to appoint a qualified company secretary as the compliance officer	There was delay in appointing the Compliance officer	Company appointed Company Secretary and Compliance to take care of compliances	The said provision has duly complied by appointing Company Secretary on May 26, 2023.

**For Ranjeetkumar Sharma and Associates**  
**Company Secretary**

Ranjeet Kumar  
Parmanand  
Sharma

Digitally signed by Ranjeet  
Kumar Parmanand Sharma  
Date: 2024.05.29 16:32:23  
+05'30'

**Ranjeet Sharma**  
**Proprietor**  
**C.P. No. 13242**  
**UDIN Number-A027079F000486450**  
**Place: Mumbai**  
**Date: May 29, 2024**