



VAX HOUSING FINANCE CORPORATION LIMITED

CIN NO: L52100GJ1994PLC023147

301, SIMANDHAR ESTATE, NR SAKAR-III, INCOME TAX, AHMEDABAD GUJARAT-380014
PH.: 079-4006 6963 EMAIL: vax1994@yahoo.com

RRSL/LIST/BSE/REG-40(10)/HLY-CERTI/10-2020
DATE: 07th OCTOBER 2020

To
Listing Compliance Department
The B S E Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai: 400 001

Respected Sirs,

Sub Submission of Share Transfer etc. Certificate for the Half Year Ended 30th September 2020

Ref: Compliance to Regulation No. 40(10) of the SEBI (LODR) 2015.

With reference to above subject please find attached SHARE TRANSFER/TRANSMISSION ETC. CERTIFICATE for the Half Year ended 30th September 2020 issued by M/s. Kamlesh M Shah & Co., Practicing Company Secretary along with their UDIN Number as per requirements and in compliance to Regulation 40(9) of the SEBI (LODR) 2015 is being submitted as per requirements of Regulation 40(10) of the SEBI (LODR) 2015.

Kindly acknowledge receipt. Thanking you,

Yours faithfully,

FOR, VAX HOUSING FINANCE CORPORATION LTD,


Nilesh A. Shah
Director
DIN:08260077

Encl: Certificate of PCS.



KAMLESH M. SHAH & CO.

B.COM LL B A.C.S

Since - 1993

PEER REVIEWED

PRACTICING COMPANY SECRETARY

801-A, 8th Floor, Mahalay Complex, Opp. Choice Restaurant Lane, B/h. Fairdeal House,
Off. C. G. Road, Navrangpura, Ahmedabad - 380 009. Mo.: 09825097709 Phone : 079-26447709
E-mail : kshahcs@yahoo.co.in, cskshah@rediffmail.com

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON SEPTEMBER 30, 2020

I have examined all the Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to VAX HOUSING FINANCE CORPORATION LIMITED (CIN: L52100GJ1994PLC023147), maintained by Link Intime India Private Limited (Company CIN: U67190MH1999PTC118368) Registrar And Share Transfer Agent at Ahmedabad pertaining to transfer/ Transmission/ Issue of Duplicate/ Split/ Consolidate Share Certificates/ Certificates on REMAT of Equity Shares of the Company for the period from APRIL 1, 2020 to SEPTEMBER 30, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and to best of my knowledge, I hereby certify that the Company / RTA has issued during half year ended on SEPTEMBER 30, 2020:

- a. Share Certificates relating to the Share Transfer Deeds received during the period from APRIL 1, 2020 to SEPTEMBER 30, 2020 as entered in the Memorandum of Transfers within 15 days from the respective date of lodgment of each deed except those rejected on technical grounds. **(Not applicable for transfer of Physical shares as per MCA circular as transfer in physical mode by public limited company is banned) except those requests which were received prior to that date and rejected on technical grounds for some defects required to be corrected by the transferor/transferee as per SEBI Circular.**
- b. Share Certificates in respect of requests for Transmission, Sub-division, Consolidation, Renewal, Exchange of Old Certificates or Endorsement of Calls / Allotment Monies, as applicable or issue of share certificates against request for REMAT of shares, within 30 days from the respective date of lodgment except those rejected on technical grounds. The Company has not paid Fees of Link Intime India Pvt. Ltd. (RTA) for last more than 3 Years. The Company has not paid Annual Custody Fees of NSDL & CDSL for more than 3 Years.
- c. In View of Above, NSDL AND CDSL have Stopped supplying the BENPOSE data to Company & RTA.




- d. We have Received a mail From Link Intime India Pvt. Ltd. that they have discontinued the service of RTA to the Company and have removal the Company as their Client. However, there is no official termination agreement executed between the Company and the RTA/STA.
- e. In view of Above facts we are unable to Comment upon true, Fairness, and reliability of the Shareholding data shown in column No. 12,13,14 of this Certificate as the same are given as per last data available with us since 31/12/2018.
- f. We are also not able to comment upon the Updating of Register of Members by the RTA/STA.

Place: Ahmedabad
Date: 07th October 2020

UDIN number **A008356B000874155**



For Kamlesh M. Shah & Co.,
Practicing Company Secretaries


(Kamlesh M. Shah)
(Proprietor)

ACS: 8356, COP.: 2072