

# HB STOCKHOLDINGS LTD.

Regd. Office : Plot No. 31, Echelon Institutional Area, Sector - 32, Gurugram -122001 (Haryana)  
Ph.:0124-4675500, Fax:0124-4370985, E-mail:corporate@hbstockholdings.com  
Website : www.hbstockholdings.com, CIN : L65929HR1985PLC033936

Date: 06<sup>th</sup> April, 2021

<b>The Listing Department</b> BSE Limited, Pheroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai - 400 001  Scrip Code: 532216	<b>The Vice President</b> National Stock Exchange of India Limited, Exchange Plaza Bandra Kurla Complex, Bandra (East) Mumbai - 400 051  Scrip Symbol: HBSL
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Sub. : Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/Madam,

Please find enclosed a copy of the certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the **half year ended 31<sup>st</sup> March, 2021**.

The above may please be treated as compliance of Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take the above on record.

Thanking you,

Yours truly,  
For HB Stockholdings Limited

  
RUPESH KUMAR  
(Company Secretary & Compliance Officer)

Encl: - As Above



**JVS & ASSOCIATES**  
COMPANY SECRETARIES

Flat No. 588, Pocket No. 4  
Sector – 11, Dwarka  
New Delhi – 110075



+91- 9717816322  
+91- 9810370312  
legal2015js@gmail.com

To  
The Board of Directors  
**HB Stockholdings Limited**  
Plot No. 31, Echelon Institutional Area,  
Sector-32, Gurugram-122001, Haryana

**Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

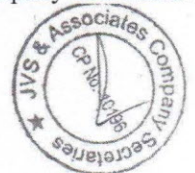
Dear Sir(s),

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to HB Stockholdings Limited ("the Company") maintained by RCMC Share Registry Private Limited, Registrar and Share Transfer Agent (RTA) of the Company for the purpose of issuing a certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31<sup>st</sup> March, 2021 and based on our such examination as well as information and explanations furnished to us, which to the best of our knowledge and belief were necessary for the purpose of our certification, we hereby certify that:

- a) All share certificates pertaining to requests received during the period from 01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 for transfers, transmission, transposition and duplicate, if any, have been issued within stipulated time from the date of such receipt of request except those rejected on technical grounds;
- b) The Company has not received any request for sub-division, consolidation, renewal, exchange or endorsement for payment of calls/allotment money during the period under review.

**Place:** New Delhi  
**Date:** April 6<sup>th</sup>, 2021

**FOR JVS & ASSOCIATES**  
Company Secretaries



Jyoti Sharma  
Company Secretary  
Cop No - 10196  
**UDIN: F008843C000030920**