

Ref. No.: AUSFB/SEC/2021-22/134

Date: 15<sup>th</sup> July, 2021

To,

National Stock Exchange of India Limited,  
Exchange Plaza, Bandra Kurla Complex,  
Bandra (East), Mumbai 400051,  
Maharashtra.  
NSE Symbol: AUBANK

BSE Limited,  
Phiroz Jeejeebhoy Towers,  
Dalal Street, Mumbai 400001,  
Maharashtra.  
Scrip Code: 540611

Dear Sir/Madam,

**Sub: Newspaper Advertisement for 26<sup>th</sup> Annual General Meeting ("AGM") through Video Conferencing/other Audio-Visual means ("VC/OAVM") facility**

Pursuant to the Regulation 47 of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, we submit herewith copies of newspaper advertisement published by the Bank in all editions of Business Standard in English and in Jaipur Edition of Punjab Kesari in Hindi on 15<sup>th</sup> July 2021, both newspapers having electronic edition, in compliance with the Ministry of Corporate Affairs Circular No. 20/2020 dated 5<sup>th</sup> May, 2020, intimating inter-alia that the 26<sup>th</sup> Annual General Meeting of the Bank will be held on Tuesday, 17<sup>th</sup> August 2021 at 10:30 AM (IST) through Video Conferencing (VC) / Other Audio Visual Means (OAVM).


This will also be hosted on the website of the Bank at [www.aubank.in](http://www.aubank.in).


You are requested to take the above on records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

 **Manmohan Parnami**  
Company Secretary and Compliance Officer  
Membership No. 9999  
[investorrelations@aubank.in](mailto:investorrelations@aubank.in)



Registered Office  
AU SMALL FINANCE BANK LIMITED  
19-A Dhuleshwar Garden, Ajmer Road,  
Jaipur - 302001, Rajasthan, India  
Phone: +91 141 4110060/61, Fax: +91 141 4110090  
CIN: L36911RJ1996PLC011381  
Formerly known as Au Financiers (India) Limited



## AU SMALL FINANCE BANK LIMITED

(CIN:L36911RJ1996PLC011381)

Regd. Office: 19-A, Dhuleshwar Garden, Ajmer Road, Jaipur - 302001, Rajasthan, (India).  
Tel: +91-141-4110060 | Fax: +91-141-4110090  
E-mail: investorrelations@aubank.in | Website: www.aubank.in



**NOTICE TO THE MEMBERS OF 26TH ANNUAL GENERAL MEETING TO BE HELD THROUGH VIDEO  
CONFERRING / OTHER AUDIO VISUAL MEANS**

Dear Member(s)

Notice is hereby given that the Twenty Sixth Annual General Meeting of the Bank ("26th AGM") will be convened on **Tuesday, 17th August 2021 at 10:30 AM** through Video conferencing ("VC") / Other Audio Visual Means ("OAVM") facility in compliance with the applicable provisions of the Companies Act, 2013 and Rules framed thereunder and the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with General Circular Nos. 14/2020, 17/2020, 20/2020 & 02/2021 dated 8th April 2020, 13th April 2020, 5th May 2020 and 13th January 2021, respectively issued by the Ministry of Corporate Affairs ("MCA Circulars") and Circular Nos. SEBI/HO/CFD/CMD1/CIR/P/2020/79 dated 12th May 2020 & SEBI/HO/CFD 2/CIR/P/2021/11 dated 15th January 2021 issued by the Securities and Exchange Board of India ("SEBI Circulars") without the physical presence of the Members at a common venue.

The Notice of the 26th AGM and the Integrated Annual Report for the Financial Year 2020-21 including the financial statements for the financial year ended on 31st March 2021 ("Annual Report") will be sent only by email to all those Members, whose email addresses are registered with the Bank or with their respective Depository Participants as on Monday, 19th July 2021 in accordance with the MCA Circulars and the SEBI Circulars. Members can join and participate in the 26th AGM through VC/OAVM facility. The instructions for joining the 26th AGM and the manner of participation in the remote electronic voting or casting vote through the e-voting system during the 26th AGM are provided in the Notice of the 26th AGM. Members participating through the VC/OAVM facility shall be counted for the purpose of reckoning the quorum under Section 103 of the Companies Act, 2013. The Notice of the 26th AGM and the Annual Report will also be made available on the website of the Bank ([www.aubank.in](http://www.aubank.in)) and the website of Stock Exchange viz. BSE Limited ([www.bseindia.com](http://www.bseindia.com)) and National Stock Exchange of India Ltd. ([www.nseindia.com](http://www.nseindia.com)).

The Members are requested to register their e-mail address, in respect of demat holdings with their respective Depository Participant by following the procedure prescribed by the Depository Participant.

The members who have not registered their email addresses can cast their vote through remote e-voting or through the e-voting system during the meeting by following the procedure prescribed in the Notice of 26th AGM.

All communications/queries in this respect should be addressed to our RTA, Link Intime India Private Limited to its email address at [rnt.helpdesk@linkintime.co.in](mailto:rnt.helpdesk@linkintime.co.in)

The above information is being issued for the information and benefit of all the members of the Bank and in compliance with the MCA Circulars and the SEBI Circulars.

It is also informed that none of the members of the Bank hold equity shares in physical form.

**By order of the Board of Directors**  
**For AU Small Finance Bank Ltd**  
sd/-  
**Manmohan Parnami**  
**Company Secretary**

**Date: 14th July 2021**  
**Place: Jaipur**

### Registered Office

**AU SMALL FINANCE BANK LIMITED**  
19-A Dhuleshwar Garden, Ajmer Road,  
Jaipur - 302001, Rajasthan, India  
**Phone:** +91 141 4110060/61, **Fax:** +91 141 4110090  
**CIN:** L36911RJ1996PLC011381  
Formerly known as **Au Financiers (India) Limited**





**एयू स्मॉल फाईनेन्स बैंक लिमिटेड**

CIN: L36911RJ1996PLC011381

पंजीकृत कार्यालय 19-ए, धुलेश्वर गार्डन, अजमेर रोड, जयपुर (राज0) 302001

टेलिफोन: +91-141-4110060 | फैक्स: +91-141-4110090

Email: investorrelations@aubank.in | Website: www.aubank.in



**वीडियो कॉन्फ्रेंस/अन्य ऑडियो विजुअल माध्यमों से आयोजित 26वीं वार्षिक साधारण सभा के विषय में सदस्यों के लिए सूचना**

प्रिय सदस्यगण,

एतद्वारा सूचित किया जाता है कि कम्पनी की 26वीं वार्षिक साधारण सभा ("26वीं एजीएम") मंगलवार, 17 अगस्त 2021 को सुबह 10:30 बजे वीडियो कॉन्फ्रेंसिंग ("वीसी")/अन्य ऑडियो विजुअल माध्यमों ("ओएवीएम") सुविधा के माध्यम से कम्पनी अधिनियम, 2013 तथा उसके अंतर्गत निमित्त नियमों तथा सेबी (सूचीबद्धता बाध्यताएं और प्रकटीकरण अपेक्षाएं) विनियम, 2015 के साथ कार्पोरेट कार्य मंत्रालय द्वारा जारी जनरल सर्क्युलर नं० 14/2020, 17/2020, 20/2020 तथा 02/2021 तिथि 8 अप्रैल 2020, 13 अप्रैल 2020, 05 मई 2020 तथा 13 जनवरी 2021 क्रमशः ("एमसीए सर्क्युलर्स") तथा भारतीय प्रतिभूति एवं विनियमन बोर्ड द्वारा जारी सर्क्युलर SEBI/HO/CFD/CMD1/CIR/P/2020/79 तिथि 12 मई 2020 तथा SEBI/HO/CFD2/CIR/P/2021/11 तिथि 15 जनवरी 2021 ("सेबी सर्क्युलर्स") के अनुसार लागू होने वाले प्रावधान के अनुपालन में, किसी स्थान पर सदस्यों की भौतिक उपस्थिति के बिना आमंत्रित की गयी है।

एमसीए सर्क्युलर्स अथवा सेबी सर्क्युलर्स के अनुसार, 26वीं एजीएम की सूचना, 31 मार्च, 2021 को समाप्त वर्ष के वित्तीय विवरणों सहित 2020-2021 वर्ष की एकीकृत वार्षिक रिपोर्ट ("वार्षिक रिपोर्ट") उन सभी सदस्यों को केवल ईमेल के द्वारा भेजी जायेगी जिनके ईमेल पते कम्पनी के पास या उनके सम्बन्धित डिपॉजिटरी पार्टिसिपेंट्स के पास सोमवार 19 जुलाई 2021 को पंजीकृत है। सदस्यगण वीसी/ओएवीएम सुविधा के माध्यम से 26वीं एजीएम में शामिल एवं भाग ले सकते हैं। 26वीं एजीएम में शामिल होने के निर्देश और 26वीं एजीएम के दौरान दुरुस्त ई-वोटिंग या ई-वोटिंग प्रणाली के माध्यम से मतदान की प्रक्रिया के निर्देश 26वीं एजीएम की सूचना में दी गयी है। वीसी/ओएवीएम सुविधा के माध्यम से भाग लेने वाले सदस्यों की गणना कम्पनी अधिनियम, 2013 की धारा 103 के अन्तर्गत कोरम के निर्धारण के उद्देश्य से की जायेगी। 26वीं एजीएम की सूचना तथा वार्षिक रिपोर्ट कम्पनी की वेबसाइट अर्थात् [www.aubank.in](http://www.aubank.in) तथा स्टॉक एक्सचेंज की वेबसाइट अर्थात् बीएसई लिमिटेड ([www.bseindia.com](http://www.bseindia.com)) एवं नेशनल स्टॉक एक्सचेंज ऑफ इण्डिया लि. ([www.nseindia.com](http://www.nseindia.com)) पर भी उपलब्ध होगी।

ईमेल एड्रेस के रजिस्ट्रेशन के लिए बैंक के सदस्य जो डीमेट फॉर्म में बैंक के इक्विटी शेयर रखते हैं, उनसे निवेदन है कि वे डिपॉजिटरी प्रतिभागी द्वारा निर्धारित प्रक्रिया का पालन करके संबंधित डिपॉजिटरी पार्टिसिपेंट्स के साथ अपना ईमेल एड्रेस रजिस्टर करें। जिन सदस्यों ने अपने ईमेल पते पंजीकृत नहीं किए हैं वे 26वीं एजीएम की सूचना में निर्धारित प्रक्रिया का पालन करते हुए बैठक के दौरान रिमोट ई-वोटिंग या ई-वोटिंग सिस्टम के माध्यम से अपना वोट डाल सकते हैं।

उपरोक्त सभी वार्तालाप / जानकारी के लिये हमारे RTA, Link Intime India Pvt. Ltd. की ईमेल एड्रेस [rnt.helpdesk@linkintime.co.in](mailto:rnt.helpdesk@linkintime.co.in) पर भेजें।

उपरोक्त जानकारी बैंक के सभी सदस्यों की सूचना तथा हितों के लिए तथा एमसीए सर्क्युलर्स तथा सेबी सर्क्युलर्स के अनुपालन में जारी की जा रही है। यह सूचित किया जाता है कि बैंक का कोई भी सदस्य बैंक के इक्विटी शेयर भौतिक रूप में नहीं रखता है।

**मण्डल निदेशकगण के आदेशानुसार**  
**कुते एयू स्मॉल फाईनेन्स बैंक लि.**  
**हस्ता**  
**(मनमोहन परनामी)**  
**कम्पनी सचिव**

**दिनांक: 14 जुलाई 2021**  
**स्थान: जयपुर**

**Registered Office**

**AU SMALL FINANCE BANK LIMITED**

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# **SEARCHABLE FORMAT**

**Ref. No.: AUSFB/SEC/2021-22/134**

**Date: 15<sup>th</sup> July, 2021**

To,

National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. <b>NSE Symbol: AUBANK</b>	BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. <b>Scrip Code: 540611</b>
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Dear Sir/Madam,

**Sub: Newspaper Advertisement for 26<sup>th</sup> Annual General Meeting (“AGM”) through Video Conferencing/other Audio-Visual means (“VC/OAVM”) facility**

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This will also be hosted on the website of the Bank at [www.aubank.in](http://www.aubank.in).

You are requested to take the above on records.

Thanking You,

Yours faithfully,

**For AU SMALL FINANCE BANK LIMITED**

**Manmohan Parnami**  
**Company Secretary and Compliance Officer**  
**Membership No. 9999**  
[investorrelations@aubank.in](mailto:investorrelations@aubank.in)

**Registered Office**  
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