



<b>To,</b> <b>Bombay Stock Exchange Limited</b> Listing Department, P J Towers, Dalal Street, Mumbai - 400 001 Scrip Code: 500366	<b>National Stock Exchange of India Limited</b> Exchange Plaza, Block G, C-1, Bandra Kurla Complex, Bandra (East), Mumbai - 400 051 Symbol: <b>ROLTA</b>
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Date: October 22, 2020

Dear Sir / Madam,

**Sub: Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended September 30, 2020**

We enclose herewith 'Compliance Certificate' dated 21<sup>st</sup> October, 2020 under Clause 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, issued by the Practicing Company Secretary – Ms. Sunita Dube, (ACS- 48556/CP- 17708) for the half year ended September 30, 2020.

Request you to take the above in your record and oblige.

Thanking you,  
For **ROLTA INDIA LIMITED**

**Hetal Vichhi**  
**Company Secretary & Compliance Officer**

Encl.: As above

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**ROLTA INDIA LIMITED**

Rolta Tower "A" Rolta Technology Park, MIDC, Andheri (E), Mumbai 400 093, INDIA.  
CIN: L74999MH1989PLC052384, Tel:+91(22) 2926 6666 / 3087 6543 Fax: +91(22) 2836 5992 E-mail : [indsales@rolta.com](mailto:indsales@rolta.com)  
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# Sunita Dube & Associates

Office Address: 2, Ground Floor, New Bhushan Apartment, Near Sai Pranay Hotel, Survevadi Teen Hath Naka Thane  
West-400604: (M) +919967790055(O)+918433777606 E-mail: [cssunitadube@gmail.com](mailto:cssunitadube@gmail.com)

Name of Company: **Rohta India Limited**  
Registration No. : **052384**  
Registered Office : **Rohta Tower-A, Rohta Technology Park,  
22nd Street MIDC-Marol, Andheri  
(East) Mumbai – 400093.**

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI  
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015  
FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2020**

I have examined the online records pertaining to Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Rohta India Limited** maintained by RTA viz. **Link Intime India Private Limited** pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, and based on the online information and documents provided by the Company and its RTA, I, hereby certify that the Company has delivered during the half year ended on **30<sup>th</sup> September, 2020**.

- A) Share Certificates relating to the Share Transfer forms received during the period from **1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020** as entered in the Memorandum of Transfers transfers/transmission/sub-division and renewals have been issued within one month from the respective date of lodgment of each form excepting those rejected on technical grounds.
- B) Share Certificates in respect of requests for exchange of transmission, deletion duplicate and split and replacement certificates have been issued within one month of lodgment.

**For, Sunita Dube & Associates**

Sunita Dube  
Digitally signed  
by Sunita Dube  
Date: 2020.10.21  
19:24:15 +05'30'

Sunita Dube  
Practicing Company Secretary  
ACS- 48556/ CP – 17708

Date: 21<sup>st</sup> October, 2020  
Place: Thane  
UDIN: A048556B001026797