



NCL RESEARCH & FINANCIAL SERVICES LTD.

CIN no.: L65921MH1985PLC284739

Registered Office : 3rd Floor, Bhagyodaya Building, 79 Nagindas Master Road, Fort, Mumbai - 400023, Maharashtra
Tel/fax: 022-22703249 E-mail : ncl.research@gmail.com Website :- www.nclfin.com

April 8, 2024

The Deputy Manager
Department of Corporate Services
BSE Limited
P. J. Towers, Dalal Street, Fort
Mumbai – 400 001

Ref: Scrip Code 530557

Sub: Submission of Certificate u/r 7(3) of SEBI LODR Regulations 2015 for the Year ended on 31st March 2024

Respected Sir or Madam,

With reference to the above captioned matter, we are enclosing along with this letter, Certificate u/r 7(3) of SEBI LODR Regulations 2015, duly signed by RTA and Compliance Officer of the Company for the year ended on **31st March 2024**.

Kindly take the same on your record & oblige.

Thanking You,

Yours truly,
For **NCL RESEARCH & FINANCIAL SERVICES LIMITED**

GOUTAM BOSE
DIN: 02504803
MANAGING DIRECTOR

Enclosed: As stated above



PURVA SHAREGISTRY (I) PVT. LTD.

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents)

GST No. 27AAACP4924D1ZO • CIN No. U67120MH1993PTC074079

9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Near Lodha Excelus, Lower Parel East, Mumbai - 400 011
Tel : 2301 2518 / 2301 6761 • Email: support@purvashare.com • Web : www.purvashare.com

ISIN: INE132F01038

Scrip Code: 530557

Compliance Certificate

[Pursuant to Regulation 7(3) of SEBI (LODR) Regulations, 2015]

We being the Company Secretary of the Company and the Authorized Representatives of the Share Transfer Agents do hereby certify that the **NCL Research & Financial Services Limited** having its Registered Office at 3rd Floor, Bhagyodaya Building, 79, Nagindas Master Road, Fort, Mumbai-400 023 has appointed **Purva Sharegistry (India) Private Limited** as its Share Transfer Agents (STA) having its Registered Office/Place of activities at 9, Shiv Shakti Ind. Estate, Ground Floor, J. R. Boricha Marg, Lower Parel, Mumbai-400 011 for effecting transfer of shares, etc. and is a SEBI Registered Category I Share Transfer Agent bearing Registration No. INR000001112.

Further, **Purva Sharegistry (India) Private Limited** has properly conducted all the activities as prescribed under Regulation 7 (2) of the SEBI (LOD) Regulations, 2015 thereto in relation to both physical and electronic share transfer facility of **NCL Research & Financial Services Limited** during the period from April 1, 2023 to March 31, 2024 on its behalf.

In our opinion and to the best of our knowledge and information and according to the documents furnished to us, we here by certify that, the company has complied with the aforesaid regulations and has maintained all the records of the activities in relation to both physical and electronic share transfer of **NCL Research & Financial Services Limited**.

For Purva Sharegistry (India) Pvt. Ltd.

For NCL Research & Financial Services Limited




Ms. Deepali Dhuri
Compliance Officer

Place: Mumbai
Date: 06/04/2024



Priya Mittal
Compliance Officer

Place: Mumbai
Date: 06/04/2024

