



Reliance Naval and Engineering Limited
Reliance Center, 2nd Floor, South Wing
Prabhat Colony, Off Vakola flyover
Santa Cruz (East) Mumbai 400055

Tel : +91 22 4303 1000
Fax : +91 22 4303 2790

www.rnaval.co.in

October 24, 2020

BSE Limited

Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai - 400 001

BSE Scrip Code: 533107

National Stock Exchange of India Limited

Exchange Plaza, 5th Floor, Plot No. C/1,
G Block, Bandra Kurla Complex,
Bandra (E) Mumbai - 400 051

NSE Symbol: RNaval

Dear Sir(s),

**Sub: Certificate under Regulation 40(9) of the Securities and Exchange Board of India
(Listing Obligations and Disclosure Requirements) Regulations, 2015**

Please find attached Certificate under Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by Practicing Company Secretary for the half year ended September 30, 2020.

You are requested to take the above on record.

Yours Faithfully

For **Reliance Naval and Engineering Limited**

Sd/-

Avinash Godse
Company Secretary

(Reliance Naval and Engineering Limited is under Corporate Insolvency Resolution Process as per the provisions of Insolvency and Bankruptcy Code, 2016. Its affairs, business and assets are being managed by Resolution Professional, Mr. Sudip Bhattacharya appointed by NCLT, Ahmadabad bench by order dated May 05, 2020.)

Mamta Phalak & Associates Company Secretaries

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED SEPTEMBER 30, 2020.

I have examined relevant registers, files and other documents relating to **Reliance Naval and Engineering Limited** (the Company), being maintained by the Registrar KFin Technologies Private Limited at Hyderabad, pertaining to transfer of Equity Shares of the Company for the period from April 01, 2020 to September 30, 2020 for the purpose of issuing a Certificate under Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, I hereby certify that the Company during half year ended on September 30, 2020 has not received:

- a) any requests for transfer, subdivision, consolidation, renewal, exchange or endorsement of calls / allotment monies during the period from April 01, 2020 to September 30, 2020.
- b) any requests for issue of duplicate share certificates.

Note: Reliance Naval and Engineering Limited is under Corporate Insolvency Resolution Process as per the provisions of the Insolvency and Bankruptcy Code, 2016 w.e.f. January 15, 2020. Its affairs, business and assets are being managed by Mr. Sudip Bhattacharya, Resolution Professional, appointed by NCLT, Ahmadabad bench by order dated May 05, 2020.

For **Mamta Phalak & Associates**


Mamta Phalak
Practicing Company Secretary

ACS No.: 47989

C.P. No.: 18918

Date: October 24, 2020

UDIN: A047989B001051838

