

SAINIK FINANCE & INDUSTRIES LIMITED

Regd. Office- 129, Transport Centre, Rohtak Road, Punjabi Bagh, New Delhi – 110035
Corporate Office: 7th Floor, Corporate Office Tower, Ambience Mall, N.H.48, Gurugram-122002
E-mail: info@sainik.org Website: www.sainikfinance.com CIN: L26912DL1991PLC045449
Telephone No.-011-28315036/0124-2719000 Fax No.-011-28315044/ 0124-2719100

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To,
The Manager (Listing)
BSE Limited
Floor 25, Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai – 400 001.

Dated: 10th April, 2024

Sub: Submission of Certificate in accordance with regulation 40(9) read with regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended on March 31, 2024

Ref: Sainik Finance & Industries Limited

Dear Sir,

Please find enclosed a copy of certificate in accordance with regulation 40(9) read with regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Year ended on March 31, 2024 obtained from Ms. Payal Sharma, Practicing Company Secretary.

You are requested to take the same on your record for the Company.

Thanking you,
Yours Faithfully

For Sainik Finance & Industries Limited

Piyush Garg
Company Secretary &
Compliance Officer

Place: Gurugram

Encl.: As Above



Ref. No.

Date

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

I have examined all relevant books and records of **SAINIK FINANCE & INDUSTRIES LIMITED** (herein after referred to as "**the Company**") maintained by M/s Indus Portfolio Private Limited, Registrar and Share Transfer Agent of the Company for the year ended 31st day of March, 2024 for the purpose of issuing this Certificate in terms of provision of Regulation 40 of the SEBI (Listing Obligations and Disclosures Requirement) Regulations 2015. As per such examination and information furnished by the Company, we confirm that apart from the equity shares, the Company has no other security listed on the stock exchange. We do hereby certify as below:

1. That all valid requests for transfer, transposition, transmission of equity shares, consolidation, sub-division, duplicate and renewal of share certificate(s), endorsement for payment of call money, as the case may be, have been given effect to and all the relevant equity shares certificates have been dispatched within the stipulated time.
2. a) That in case of requests for transfer, transposition, transmission of equity shares, transmission, consolidation, sub division, duplicate and renewal of share Certificate(s) which were not in order but could be ratified, the necessary objection memo/ intimation letter was sent within the stipulated time and in case of ratification of defect (s)/ desired action, the request was given effect to within the stipulated time from the date of ratification of such defect (s)/ desired action by the application.
b) That all other requests for transfer, transposition, transmission of equity shares, transmission, consolidation, sub division, duplicate and renewal of share certificate(s) which were not in order and could not be ratified were rejected and returned within the stipulated time.

Date: 05.04.2024
Place: Noida



Payal Sharma
Company Secretary in Practice
M. No: 8053
C.P No.8116

Peer Review Certificate No- 2489/2022

UDIN- F008053F0000 35817

Email: cspayalsharma@yahoo.com