



# ASM TECHNOLOGIES LIMITED

80/2, Lusanne Court, Richmond Road, Bangalore - 560 025  
Tel : +91-80-66962300/01/02 Fax : +91-80-66962304 e-mail : info@asm ltd.com Website : www.asmltd.com  
CIN : L85110KA1992PLC013421 GST No. : 29AABCA4362P1Z9

29<sup>th</sup> October, 2020  
Department of Corporate Services  
Bombay Stock Exchange Limited,  
Phiroze Jeejeebhoy Towers,  
25th Floor, Dalal Street,  
Mumbai - 400 001.

Dear Sir,

**Reg : Certificate vide 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter and period ended 30<sup>th</sup> September, 2020.**

With reference to the above please find attached the certificate vide 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. duly signed for the quarter ended 30th September 2020.

Thanking You,

Sincerely,  
**For ASM Technologies Limited**

*Vanishree*  
**Vanishree Kulkarni**  
**Company Secretary**



B P


**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED SEPTEMBER 30, 2020  
(From April 01, 2020 to September 30, 2020)**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ASM Technologies Limited** maintained by KFin Technologies Private Limited pertaining to transfer of Equity Shares of the Company for the period from **April 01, 2020 to September 30, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the RTA, we hereby certify that that the Company has delivered during the half year ended on April 01, 2020 to September 30, 2020:

A) There were no Share Certificates relating to the Share Transfer form(s) during the period from April 01, 2020 to September 30, 2020 as the Company had not received any request for share transfer.

B) Share Certificates in respect of requests for exchange of duplicate have been issued within one month of lodgment.

<p>Place: Bangalore Date: October 16, 2020 UDIN: F008750B000969809</p>	<p>For <b>BMP &amp; Co. LLP</b>, Company Secretaries</p> <p> Biswajit Ghosh Designated Partner</p> <p>FCS No: 8750 CP No: 8239</p>
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**BMP & Co. LLP**

Regd Off: # 4272, Saphagiri, 2<sup>nd</sup> Fl, Vivekananda Park Road, Near Seetha Circle, Girinagar, Bangalore-560085. ☎:080 26728442. [biswajit@bmpandco.com](mailto:biswajit@bmpandco.com). Web: [www.bmpandco.com](http://www.bmpandco.com). LLPIN : AAI-4194

Vaishu

