

October 12, 2020

The Manager Corporate Relationship Department BSE Limited 1 st Floor, New Trading Wing, Rotunda Building, P J Towers, Dalal Street, Fort, <u>Mumbai - 400001</u>	The Manager Listing Department National Stock Exchange of India Limited Exchange Plaza, 5 th Floor, Plot No. C-1, Block G, Bandra Kurla Complex, Bandra (E), <u>Mumbai - 400051</u>	The Secretary The Calcutta Stock Exchange Limited 7, Lyons Range, <u>Kolkata - 700001</u>
BSE Security Code: 500043	NSE Symbol: BATAINDIA	CSE Scrip Code: 10000003

Dear Sir/Madam,

Subject: Submission of Compliance Certificate issued in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

We are submitting hereunder the Compliance Certificate dated October 12, 2020 issued by M/s. S. M. Gupta & Co., Company Secretaries in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (as amended) for the half year ended September 30, 2020 and received by us on October 12, 2020.

We request you to take the same on record.

Thanking you,

Yours faithfully,
For BATA INDIA LIMITED


NITIN BAGARIA
Company Secretary & Compliance Officer

Encl.: As above

BATA INDIA LIMITED

CIN: L19201WB1931PLC007261

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S. M. GUPTA & CO.
COMPANY SECRETARIES
P-15 BENTINCK STREET, CALCUTTA-700 001

Ref. No.....

Dated.....

CERTIFICATE
FOR THE HALF YEAR ENDED 30.09.2020

We have examined all Share Transfer Deeds, Register of Transfers, files and other documents relating to **M/s. BATA INDIA LIMITED (CIN: L19201WB1931PLC007261)** maintained by them and their Share Transfer Agents M/s. R & D Infotech Pvt. Limited pertaining to transfer of equity shares of the company for the period from **01.04.2020** to **30.09.2020** for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended and based on the information/explanation provided by the Company/ STA and on the basis of such checks which to the best of our knowledge and belief were necessary for the purpose of this certification including by way of remote audit, we hereby certify that the Company has generally issued during the above half year:-

- A) Share Certificate(s) relating to valid Share Transfer Deeds (re-lodgement cases) received during the period from **01.04.2020** to **30.09.2020** as entered in the Register of Transfers within thirty days from respective date of lodgment of such deed excepting those rejected on technical grounds. --*No transfer/transmission was affected during the above half year.*
- B) Share Certificate(s) in respect of valid requests for sub-division, consolidation, renewal, exchange, duplicate or endorsement of calls/ allotment monies wherever applicable within thirty days of lodgment.

The Certificate is being issued under the conditions of work from home/other restrictions due to COVID-19 with limited resources available to us.

Place : Kolkata
Date : 12.10.2020

Signature :



Name : S.M.GUPTA
Membership No : FCS-896
C. P. Number : 2053

UDIN : **F000896B000912444**