

AASTAMANGALAM FINANCE LIMITED

(Formerly UPASANA FINANCE LIMITED)

CIN: L65191TN1985PLC011503

Regd off: No.51 Hunters Road, Choolai, Chennai 600112

E-mail: upasana_shares@yahoo.com

Scrip Code: 511764, ISIN: INE819K01014

30/04/2024

To
DCS – CRD
The Stock Exchange, Mumbai
PJB Towers, Dalal Street
Mumbai – 400 001

Dear Sir,

Sub: Certificate under Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

With reference to above, we hereby enclose a certificate received under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2024.

Kindly acknowledge the receipt and do the needful.

Thanking you
Yours faithfully

For AASTAMANGALAM FINANCE LTD

BHAVIKA M JAIN
Director
DIN: 07704015

Encl:- As above

A. K. JAIN & ASSOCIATES
COMPANY SECRETARIES



S. Anil Kumar Jain B.Com., FCS

Balu Sridhar M.A.C.S., FCS., LLB

Pankaj Mehta B.Com (C.S.), ACS

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE YEAR ENDED MARCH 31, 2024

The Securities and Exchange Board of India (SEBI) vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. 1st April, 2019

Further, SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI / HO / MIRSD / MIRSD_RTAMB / P / CIR / 2022 / 8 dated 25th January, 2022 had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, renewal / exchange of securities certificate, endorsement, subdivision / splitting of securities certificate, consolidation of Securities certificates/folios, transmission and transposition.

Accordingly, we have examined the registers, records, files and other documents relating to M/s. AASTAMANGALAM FINANCE LIMITED (the Company) maintained by M/s. INTEGRATED REGISTRY MANAGEMENT SERVICES PRIVATE LIMITED ("Share Transfer Agent) for the year ended 31st March 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Based on the information, we hereby certify that:

- a) The Company and / or RTA has delivered certificates (Letter of confirmation) in respect of
- Name change.
 - Transmission.
 - Duplicate certificate.

- b) The Company and / or RTA have not received any requests for Transfer, renewal, exchange or endorsement of calls / allotment monies.

For A.K.JAIN & ASSOCIATES
Company Secretaries



P. Mehta

PANKAJ MEHTA

Partner

M.NO. A29407

C.P.NO. 10598

UDIN: A029407F000279272

PR: 1201/2021

Date: 30.04.2024

Place: Chennai